

# An Introduction to Diffusion Tensor Imaging, with Applications

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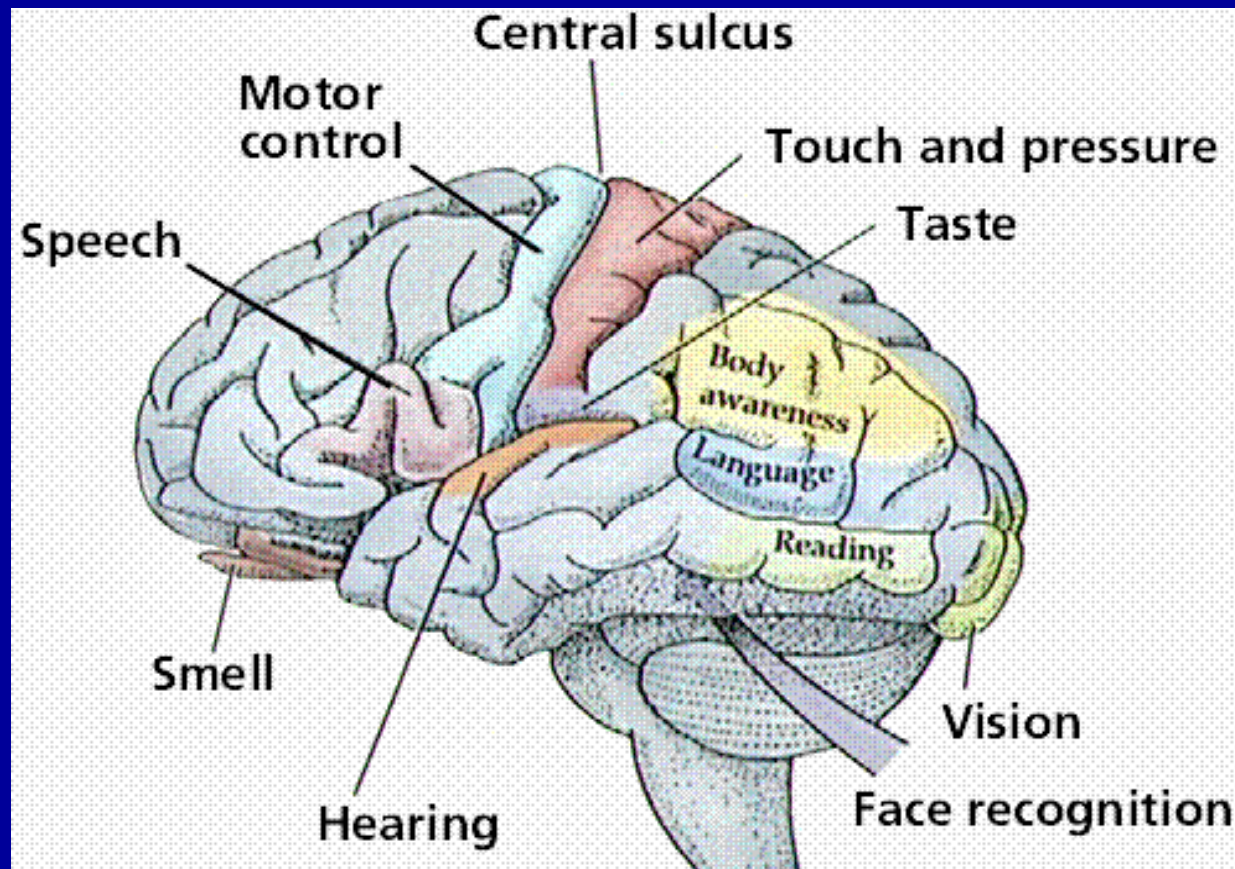
SONA, 2015

# Outline

- Structural connectivity overview
- Diffusion weighted imaging (DWI)
  - Data acquisition
  - Diffusion tensor imaging (DTI) model
  - Basic quantities
- Tractography: estimating white matter connections
- Applications
  - Research studies-- studying WM properties
  - Clinical use-- electrode placement, surgical planning

# Function and Structure: Network Motivation

The brain is both regionally specialized and globally operative. At its highest level, it is organized as networks of separate ROIs.



*Functional* and *structural* connectivity are complementary paradigms for describing the interactions within (and between) networks, quantifying GM and WM properties, respectively.

# What is diffusion tensor imaging?

DTI is a particular kind of magnetic resonance imaging (MRI) modality based on diffusion weighted imaging (DWI) acquisitions



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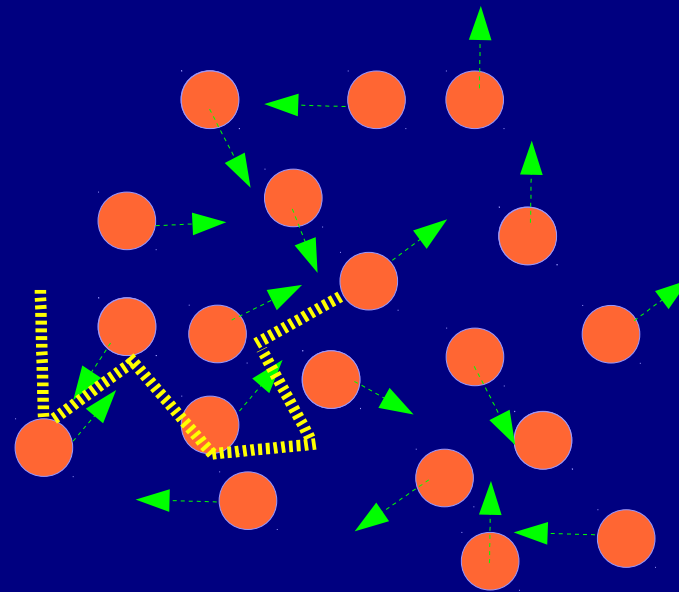
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**Diffusion:** random motion of particles, tending to spread out  
→ here, hydrogen atoms in aqueous brain tissue

● particle

↑ motion

⋯ random path/walk



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**Tensor:** a mathematical object (a matrix) to store information  
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$$\mathbf{D} = \begin{pmatrix} D_{11} & D_{12} & D_{13} \\ D_{21} & D_{22} & D_{23} \\ D_{31} & D_{32} & D_{33} \end{pmatrix}$$

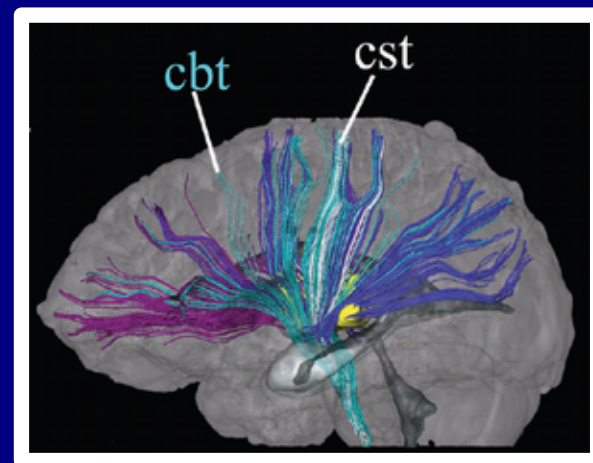
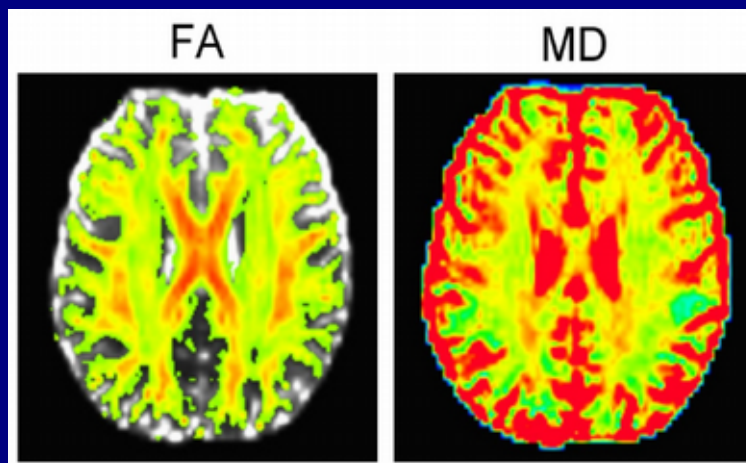
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**Imaging:** quantifying brain properties  
→ here, esp. white matter properties



# Diffusion as environmental marker

Diffusion: random (Brownian) motion of particles → mixing or spreading

Ex: unstirred, steeping tea (in a large cup):



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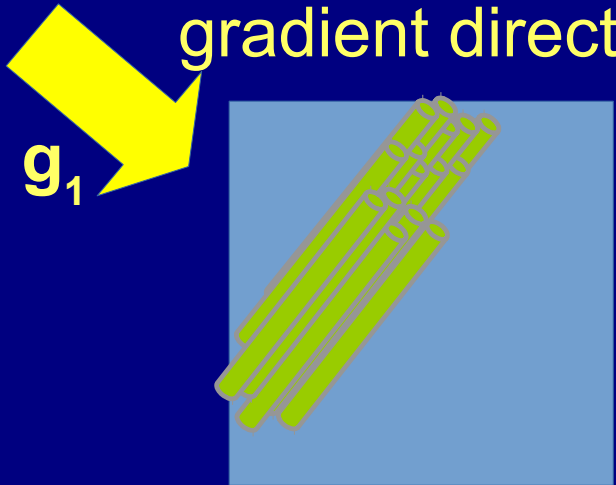
*Diffusion shape tells of structure presence and spatial orientation*

*Acquiring data for DTI modeling:*  
diffusion weighted gradients in MRI

# DWI

Purpose: view diffusion along a particular direction

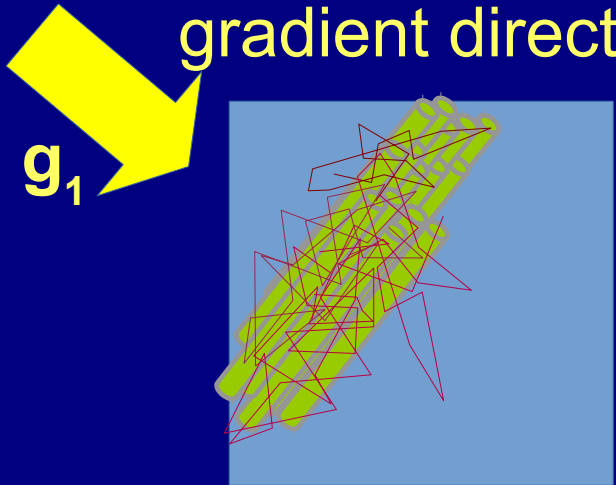
View diffusion along  
gradient direction,  $\mathbf{g}$



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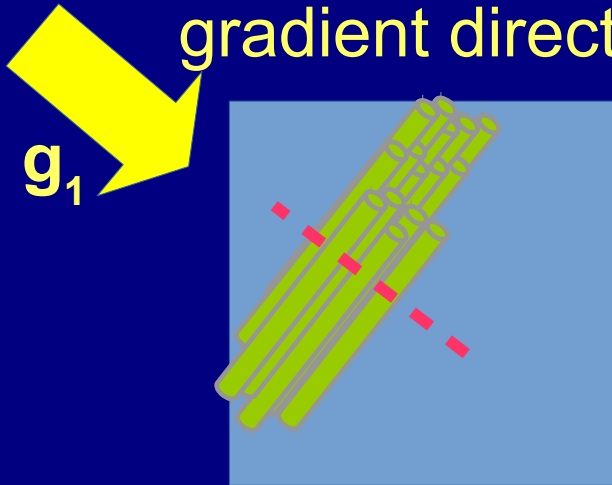
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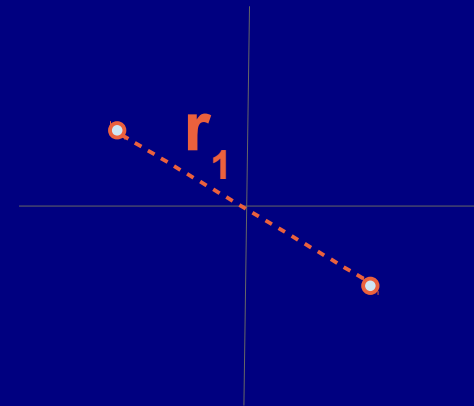
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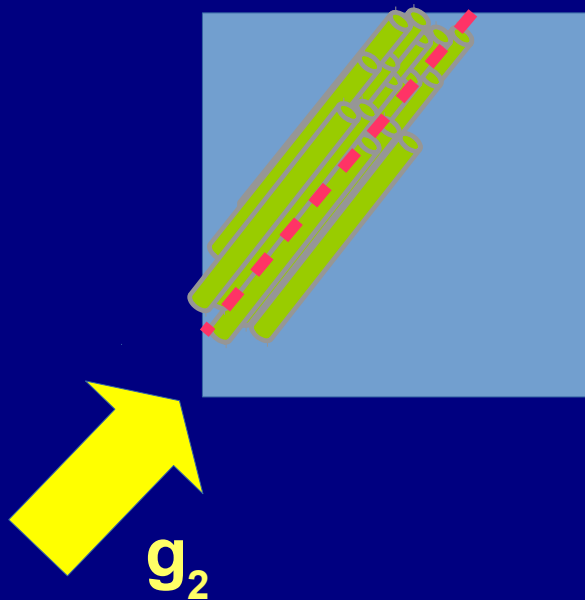
Calculate and store  
average diffusion radius,  $\mathbf{r}$



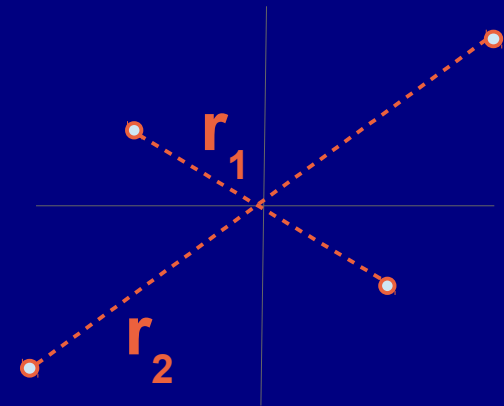
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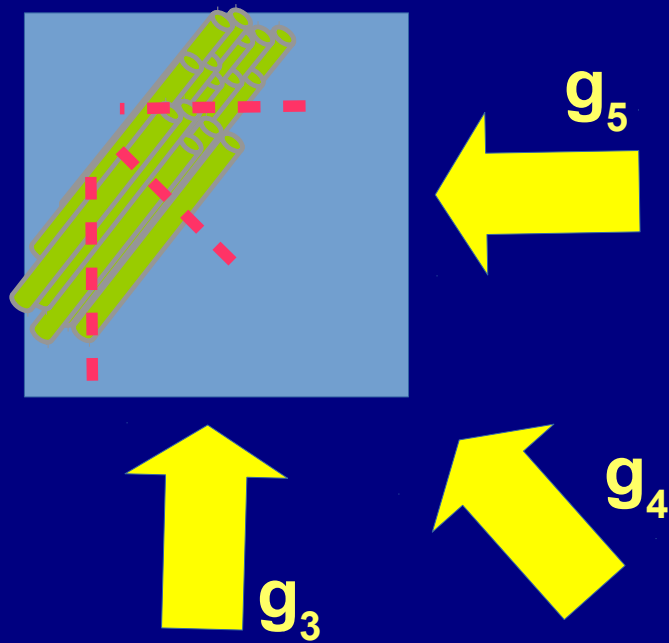
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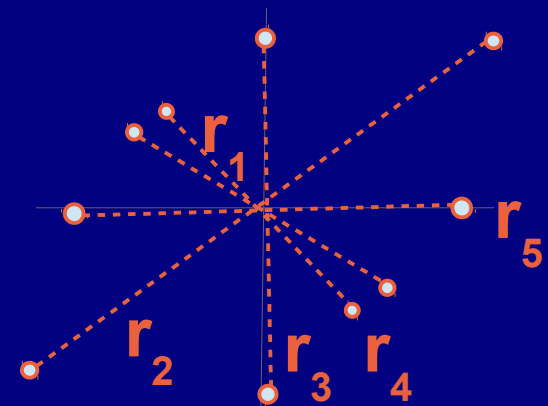
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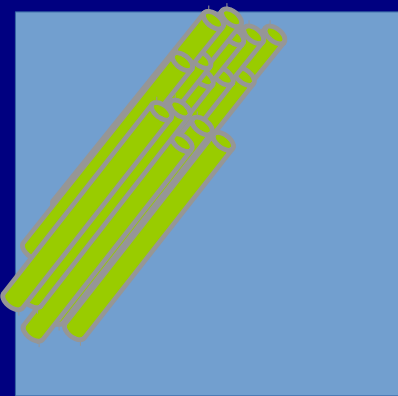
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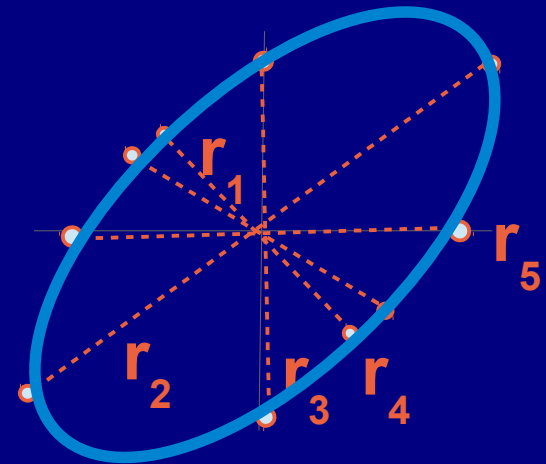
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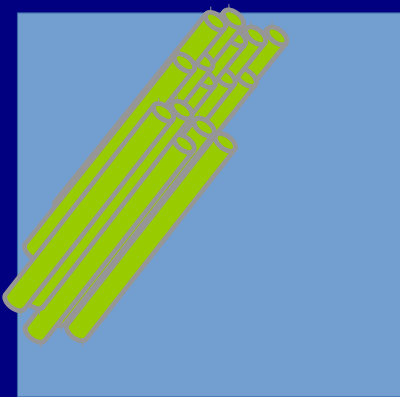
Fit ellipsoid



# DWI $\rightarrow$ DTI

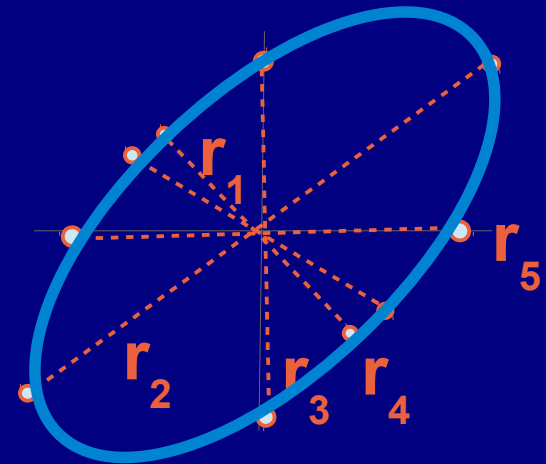
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$$\mathbf{D} = \begin{bmatrix} D_{11} & D_{12} & D_{13} \\ D_{21} & D_{22} & D_{23} \\ D_{31} & D_{32} & D_{33} \end{bmatrix}$$

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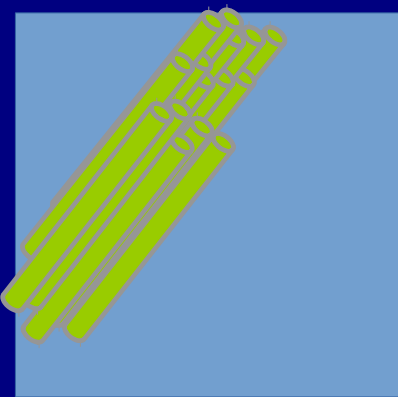


The diffusion tensor model: Solve for  $\mathbf{D}$  = Fit ellipsoid

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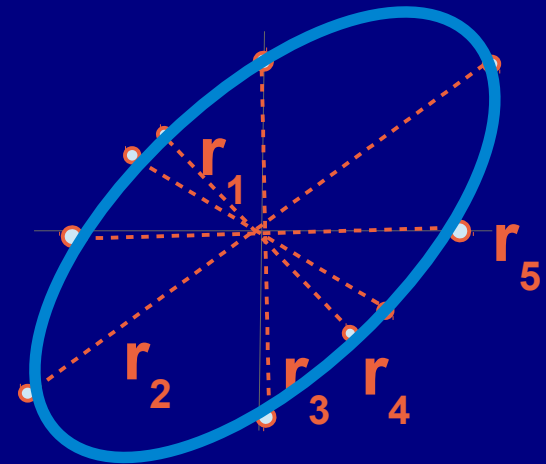
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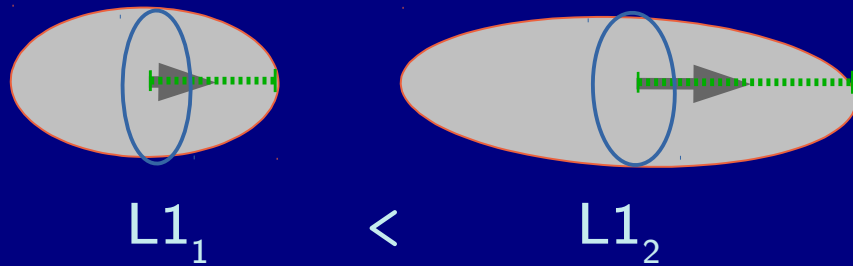


**The diffusion tensor model:** Solve for  $\mathbf{D}$  = Fit ellipsoid

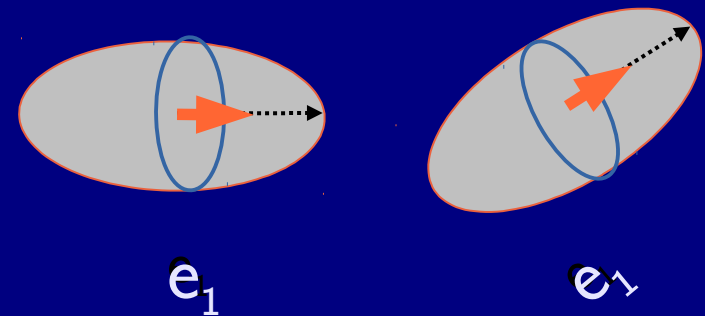
The geometric properties of the DTI ellipsoid  
are used to characterize WM structure!

# “Big 5” DTI ellipsoid parameters

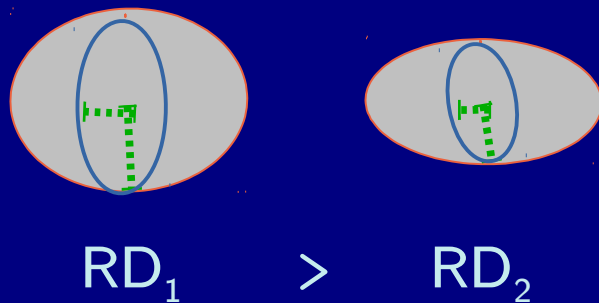
first eigenvalue, L1 (=“parallel diffusivity”)



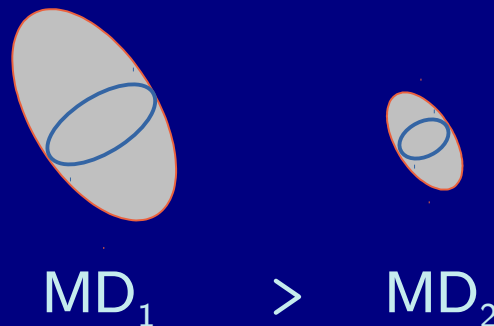
first eigenvector, e<sub>1</sub>



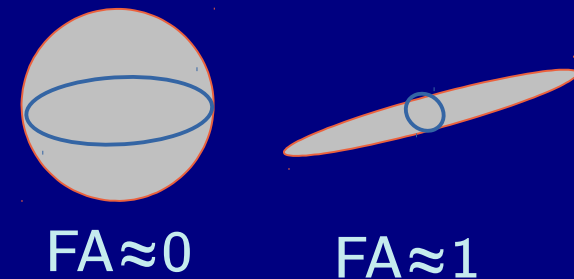
Radial diffusivity, RD  
(=“perpendicular diffusivity”)



Mean diffusivity, MD

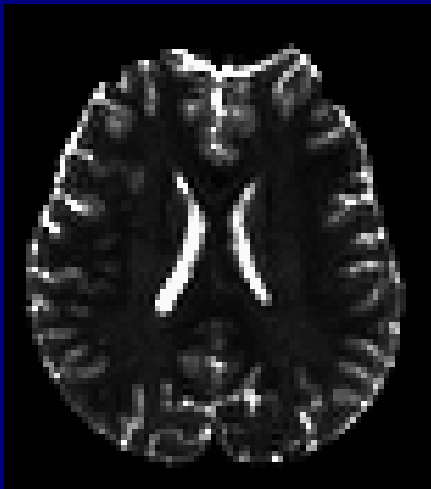


Fractional anisotropy, FA

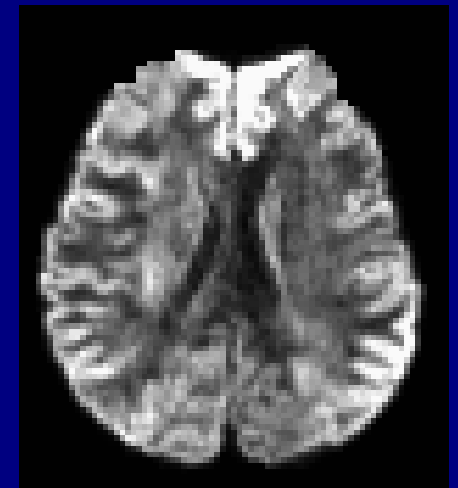
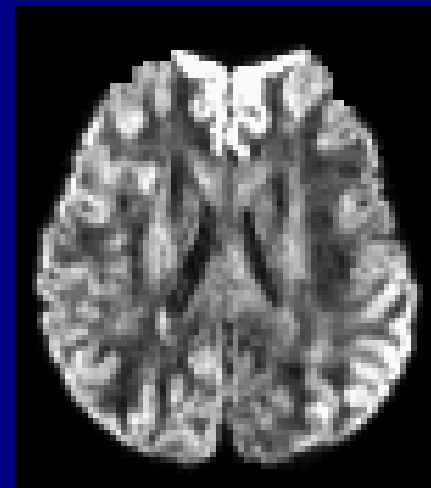
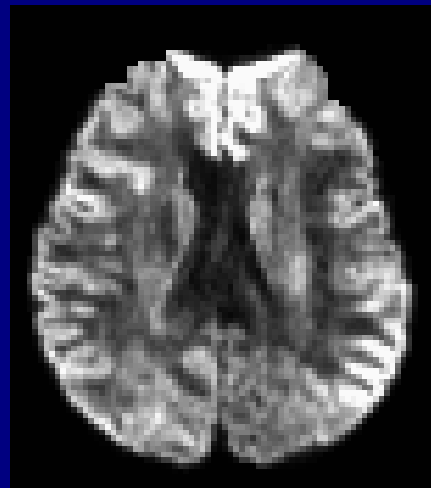
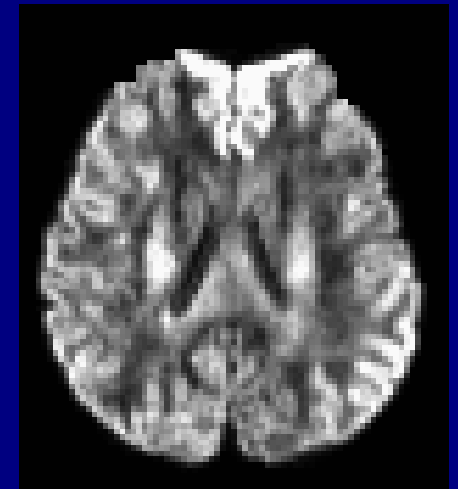
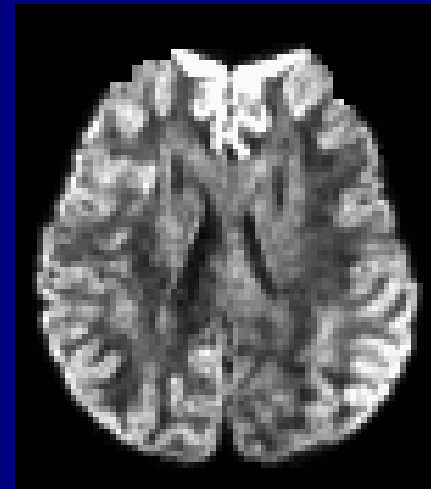
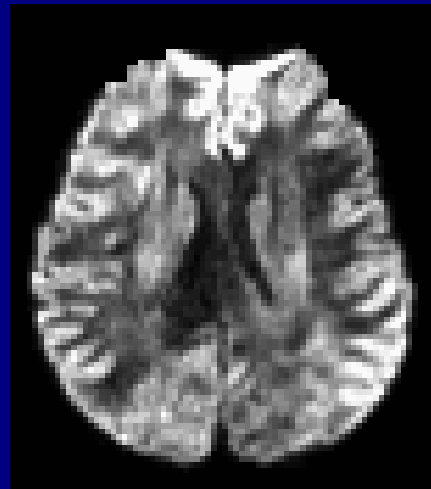


# Sidenote: what DWIs look like

Unweighted  
reference  
 $b=0$  s/mm<sup>2</sup>

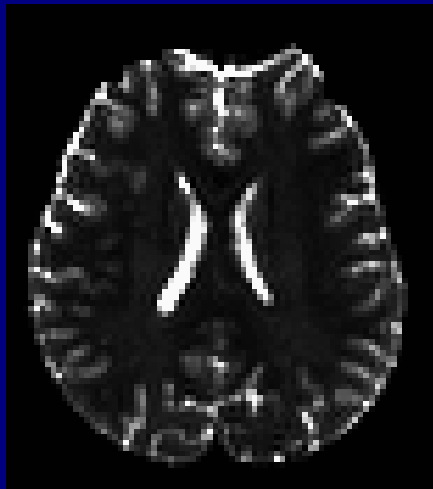


Diffusion weighted images  
(example:  $b=1000$  s/mm<sup>2</sup>)

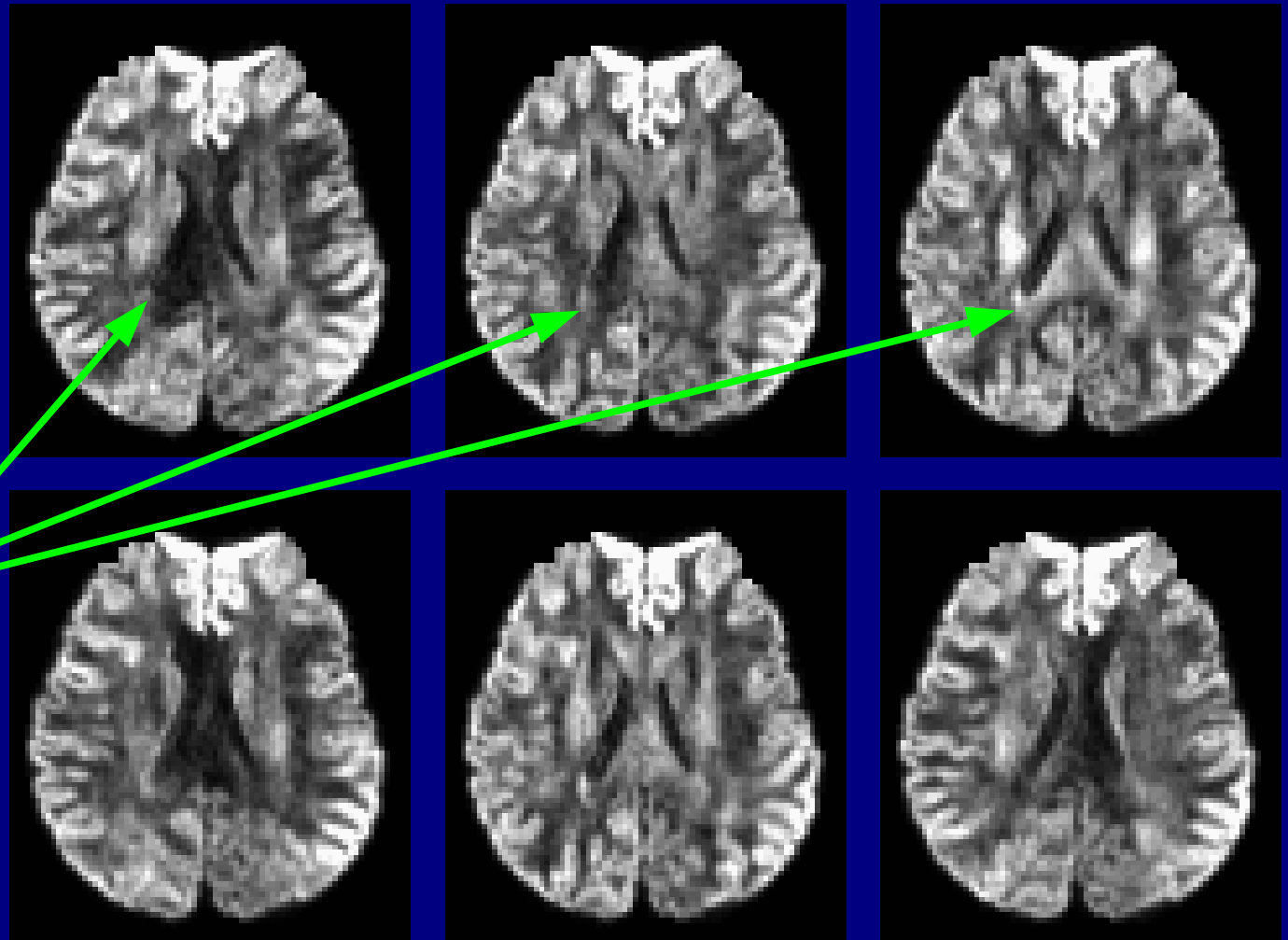


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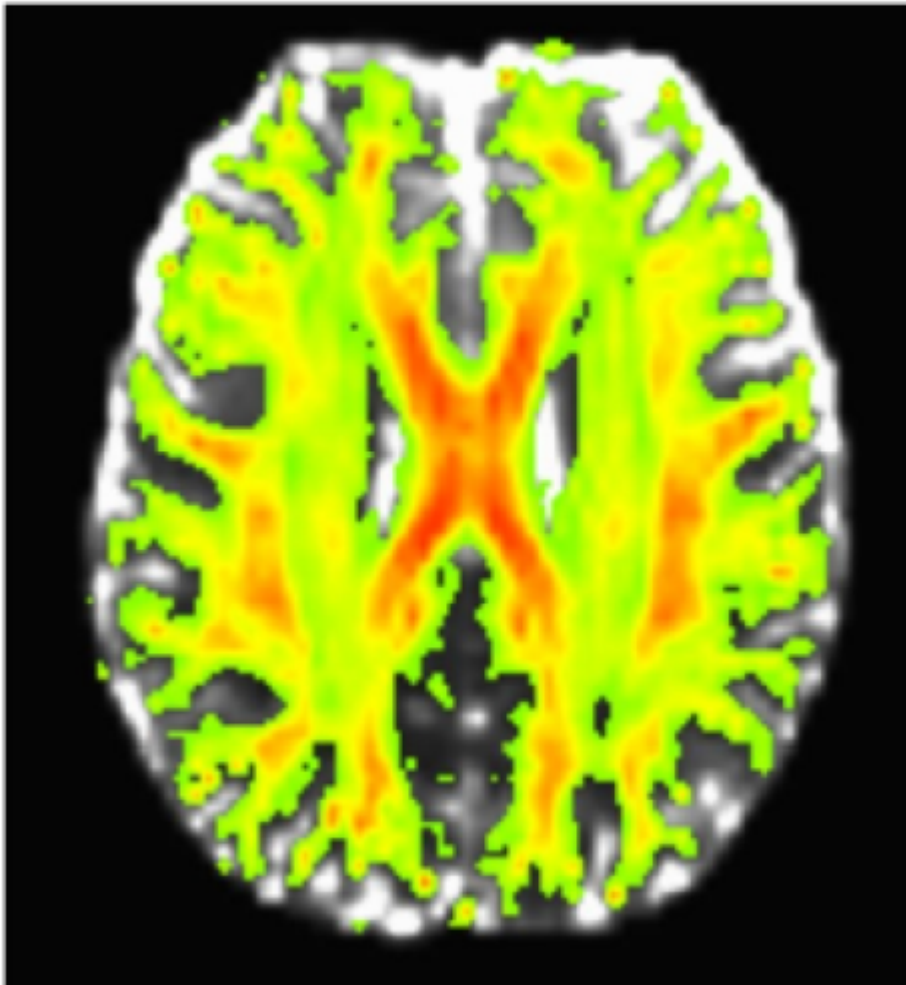
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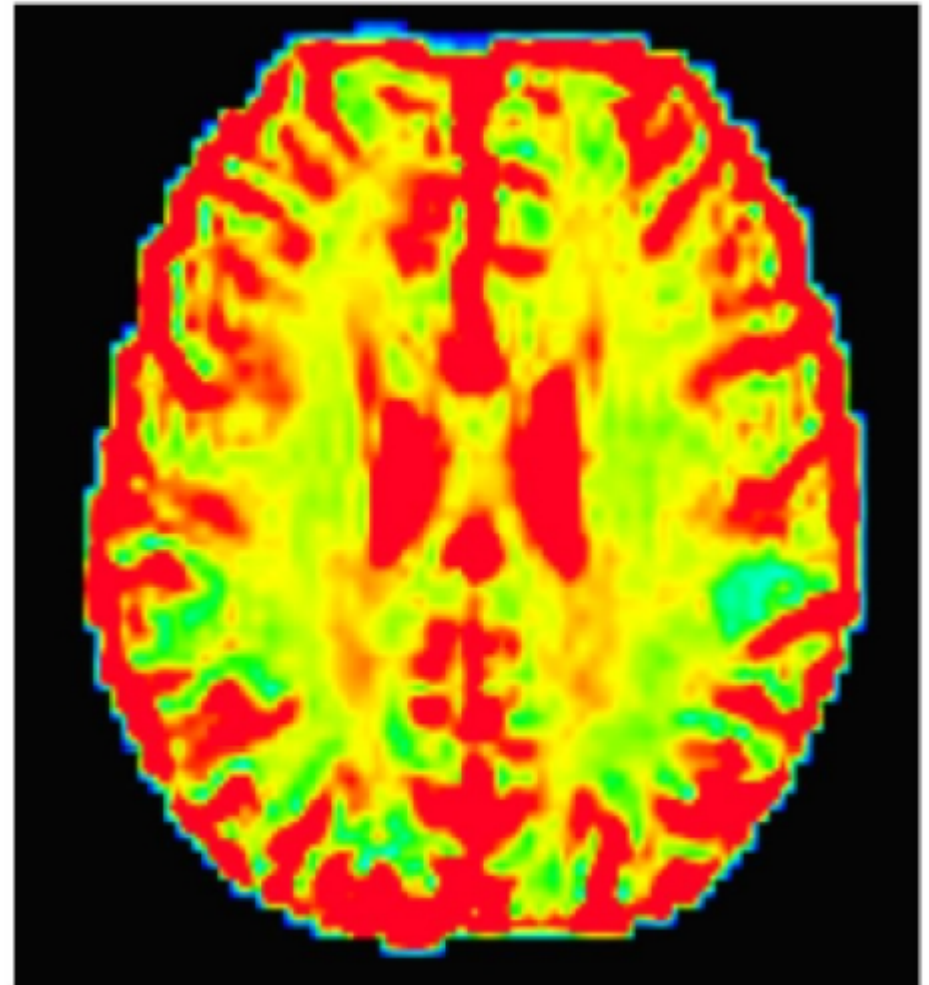
(Each DWI has a different brightness pattern: viewing structures from different angles.)

# Sidenote: what DTI parameters look like

FA

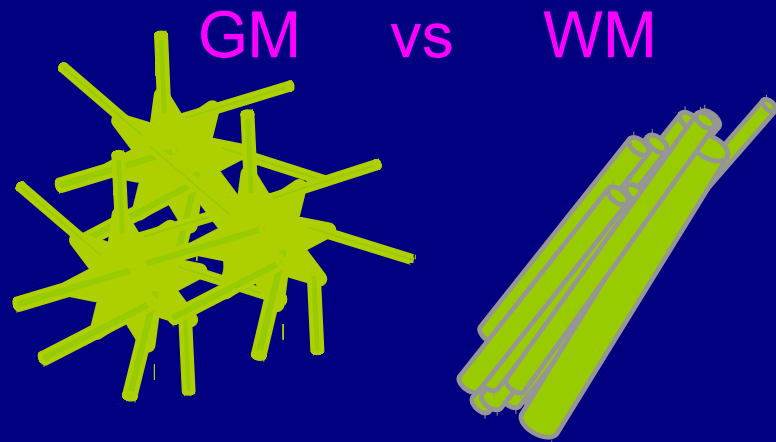


MD

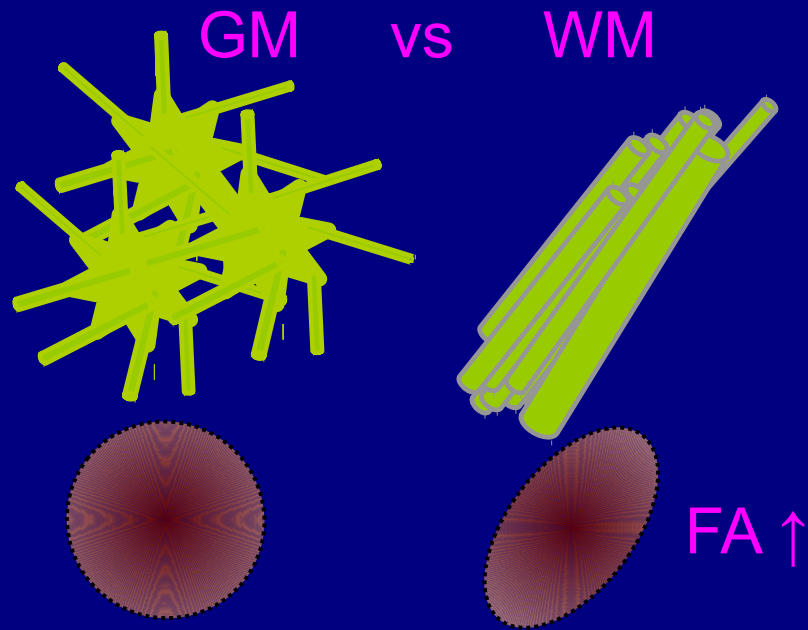


(FA>0.2)

# Cartoon examples: white matter $\leftrightarrow$ FA

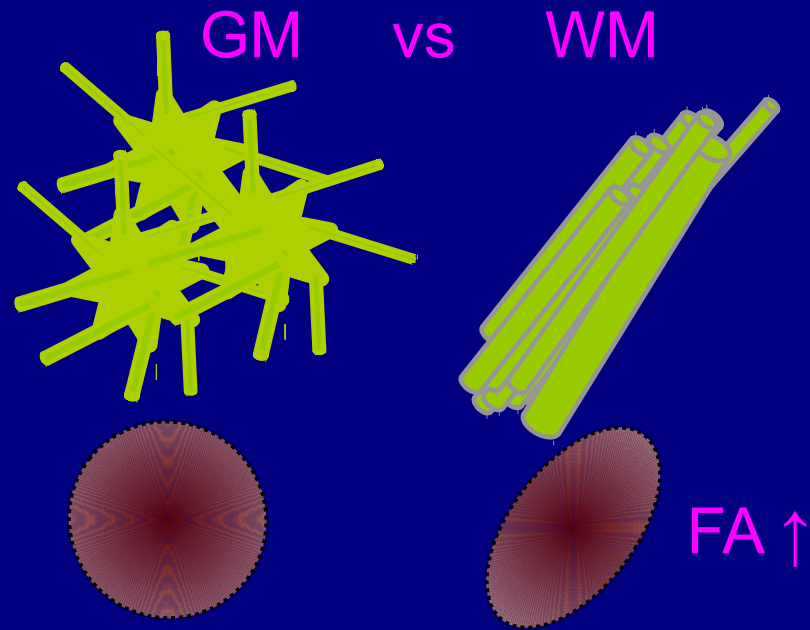


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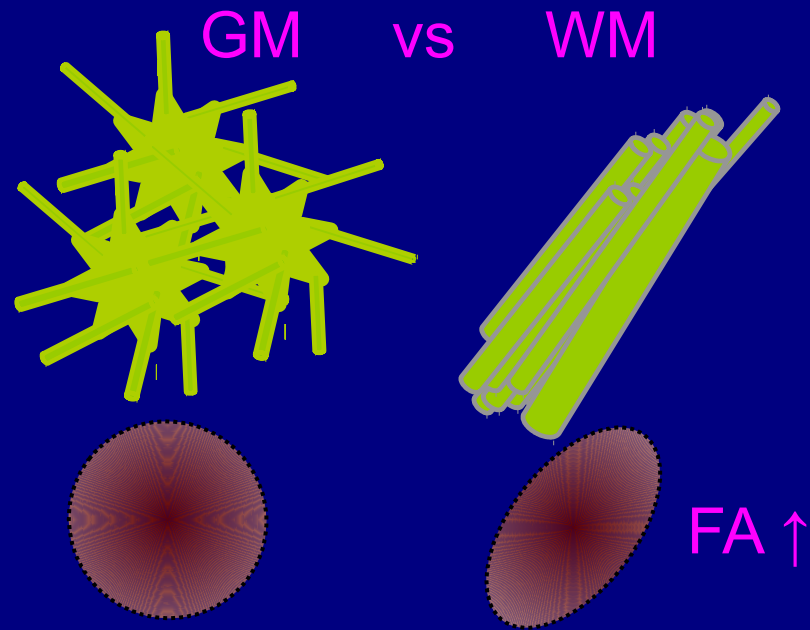




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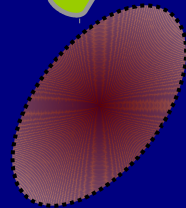
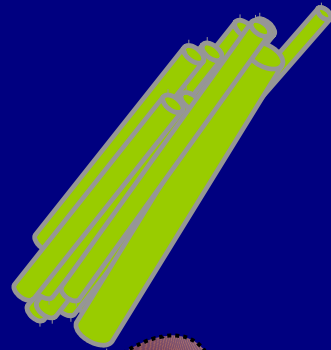
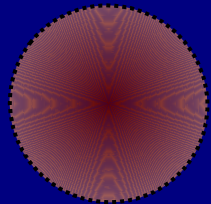
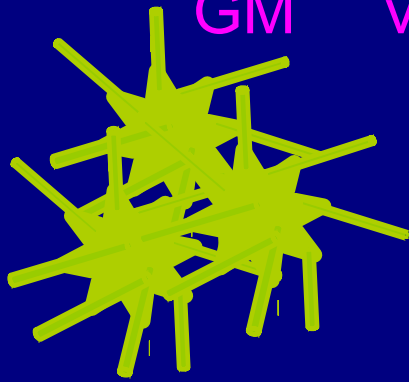


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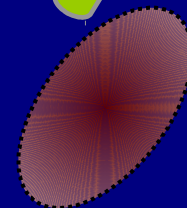
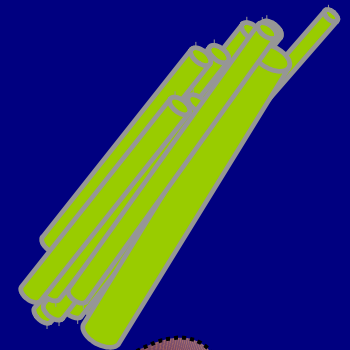
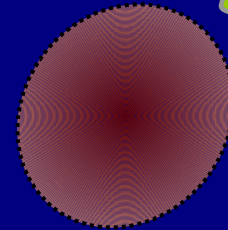
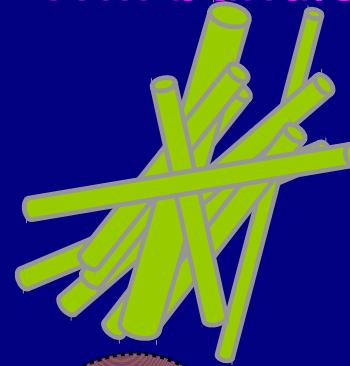
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GM vs WM



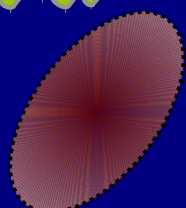
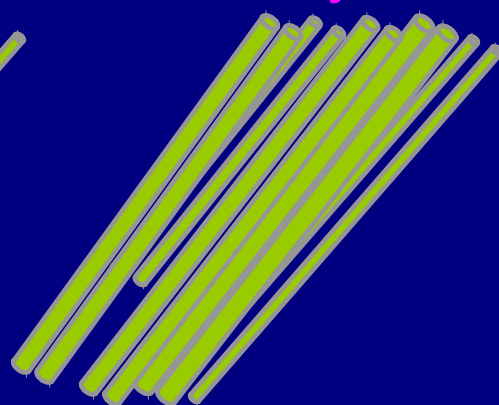
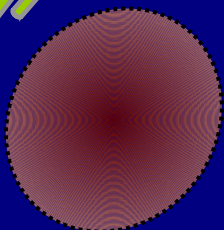
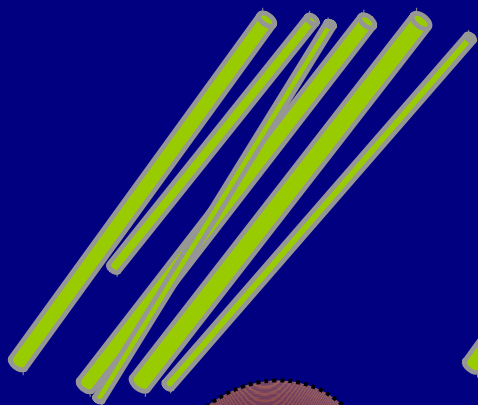
FA  $\uparrow$

WM bundle organization



FA  $\uparrow$

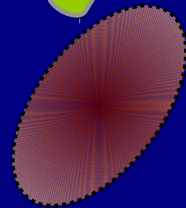
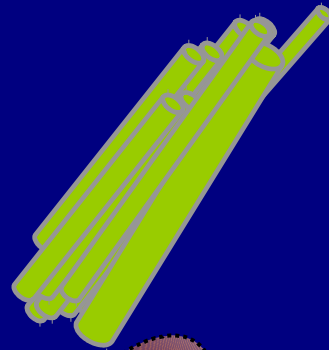
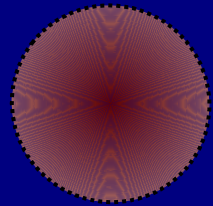
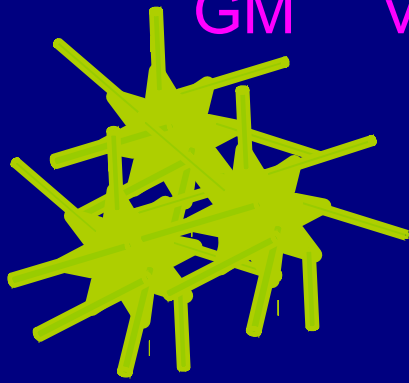
WM bundle density



FA  $\uparrow$

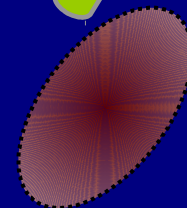
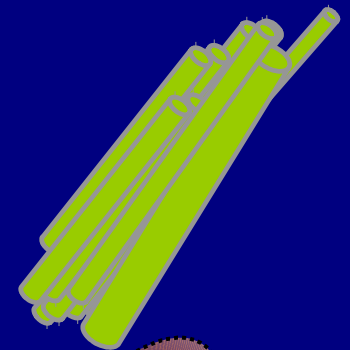
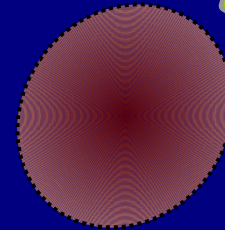
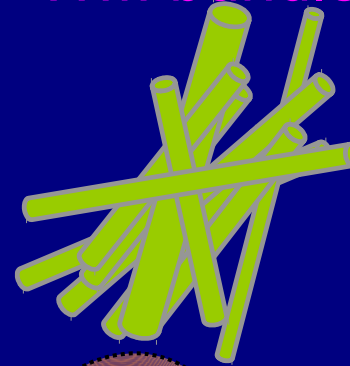
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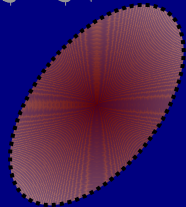
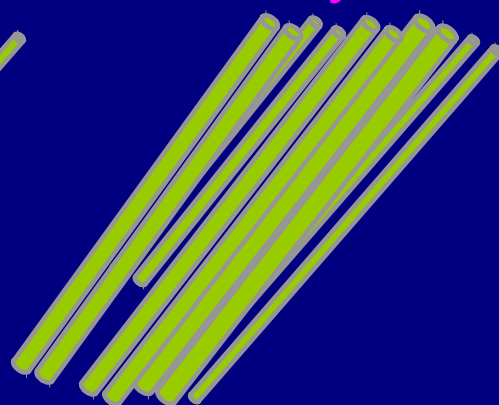
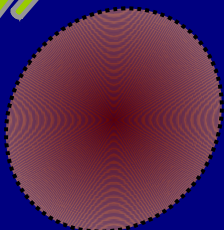
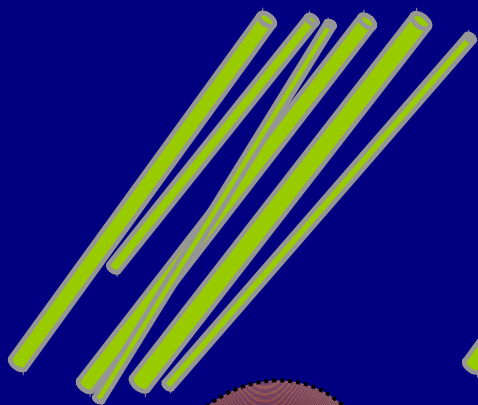
FA  $\uparrow$

WM bundle organization



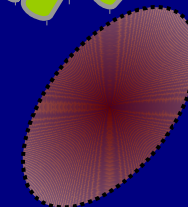
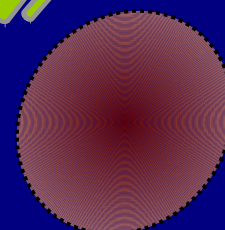
FA  $\uparrow$

WM bundle density



FA  $\uparrow$

WM maturation (myelination)



FA  $\uparrow$

# Interpreting DTI parameters

## General literature:

**FA**: measure of fiber bundle coherence and myelination

- in adults,  $FA > 0.2$  is proxy for WM

**MD, L1, RD**: local density of structure

**$e_1$** : orientation of major bundles

# Interpreting DTI parameters

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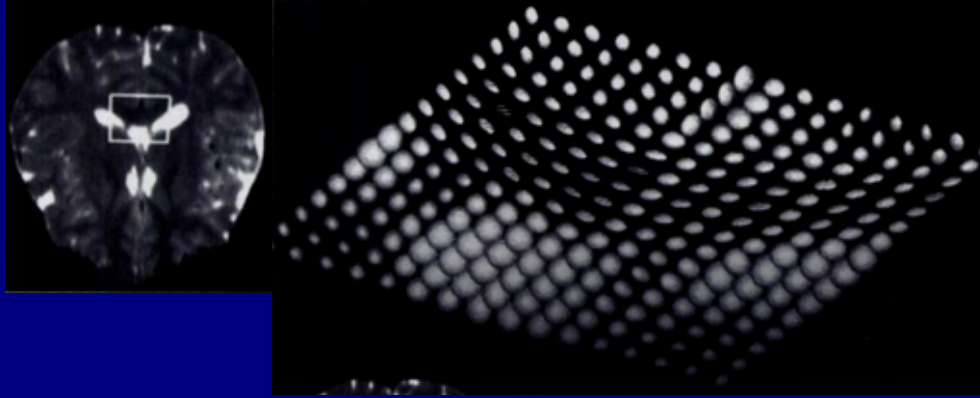
## Cautionary notes:

- Degeneracies of structural interpretations
- Changes in myelination may have small effects on FA
- WM bundle diameter  $\ll$  voxel size
  - don't know location/multiplicity of underlying structures
- More to diffusion than structure-- e.g., fluid properties
- Noise, distortions, etc. in measures

Now discuss using *local* structure information  
to generate/estimate *nonlocal* structures:  
WM tractography

# Local DTs $\rightarrow$ extended tracts

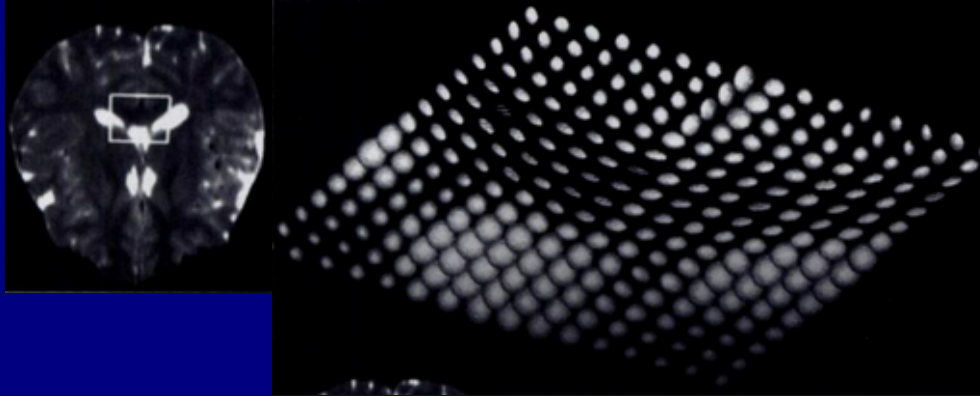
Field of local diffusion parameters





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Field of local diffusion parameters

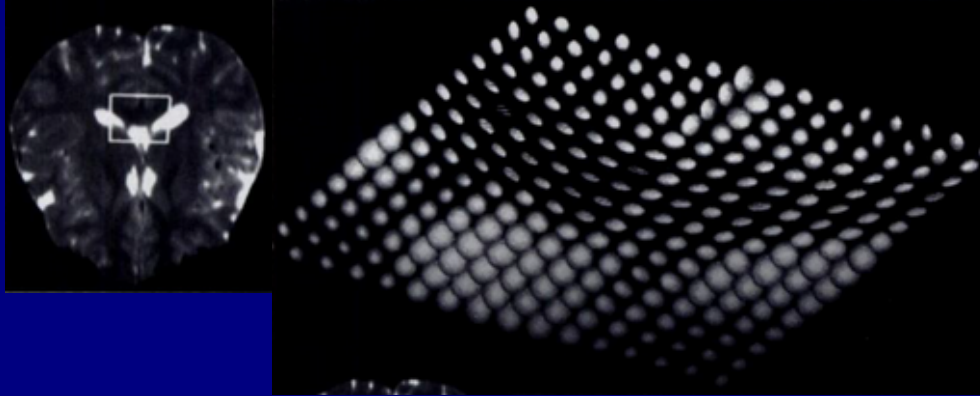


$\rightarrow$  individual ellipsoids

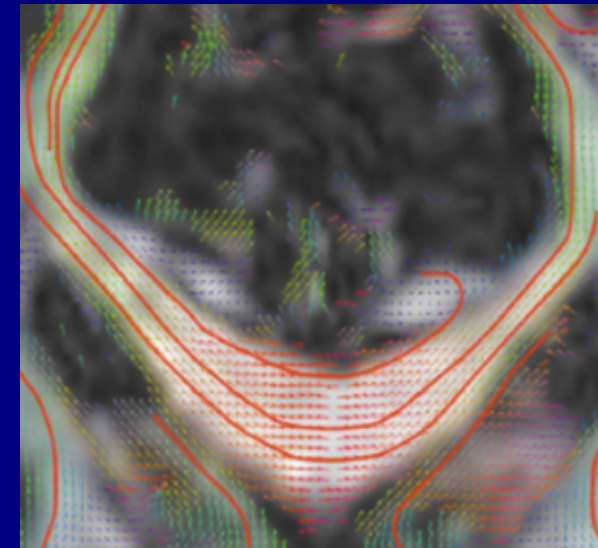


# Local DTs $\rightarrow$ extended tracts

Field of local diffusion parameters



Connect to form extended tracts



$\rightarrow$  individual ellipsoids



$\rightarrow$  linked structures



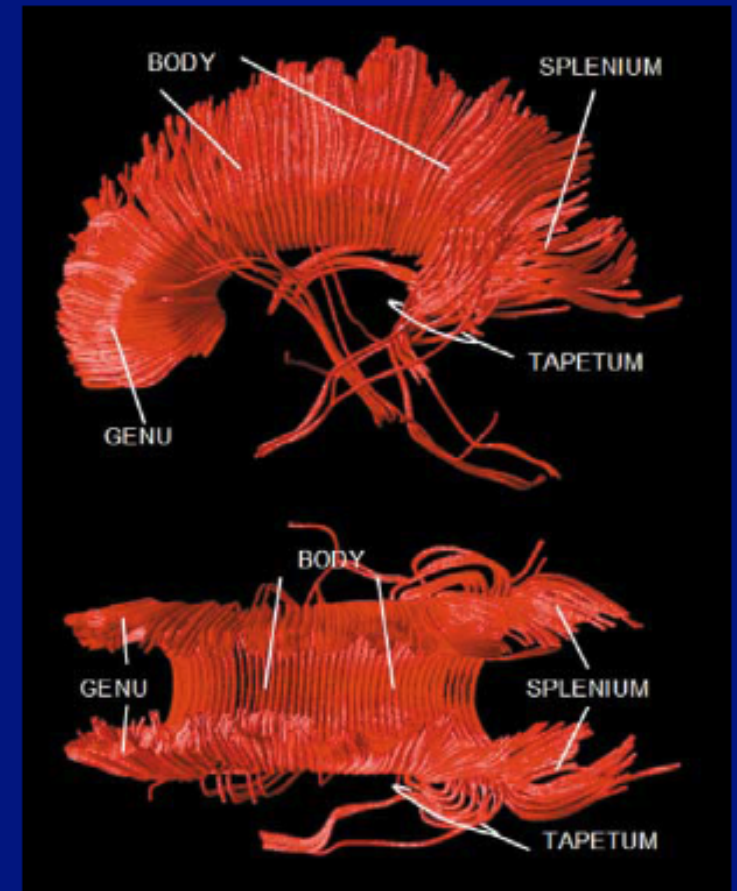
# Tractography in brief

old, invasive



stain and preserve brain, get some  
Idea of structure... non-ideal:  
brain physiology changes postmortem,  
also 'mortem' aspect

new(er), theoretical

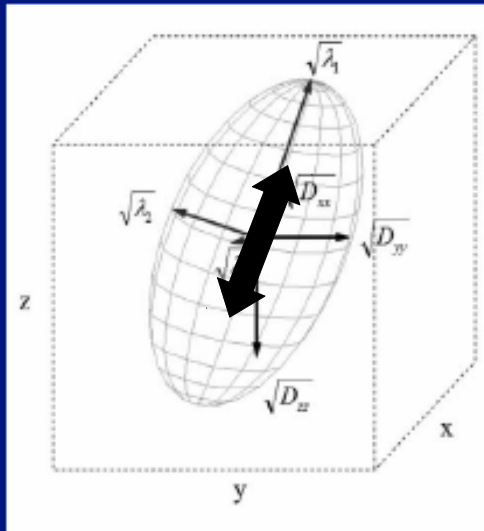


(images from Iowa Virtual Hospital  
and Bammer et al. 2003)

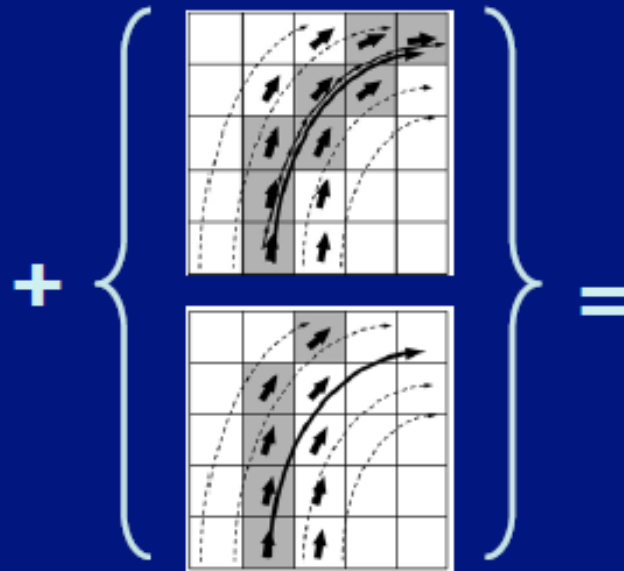


# Tractography

Estimate WM structure (fiber tract locations)

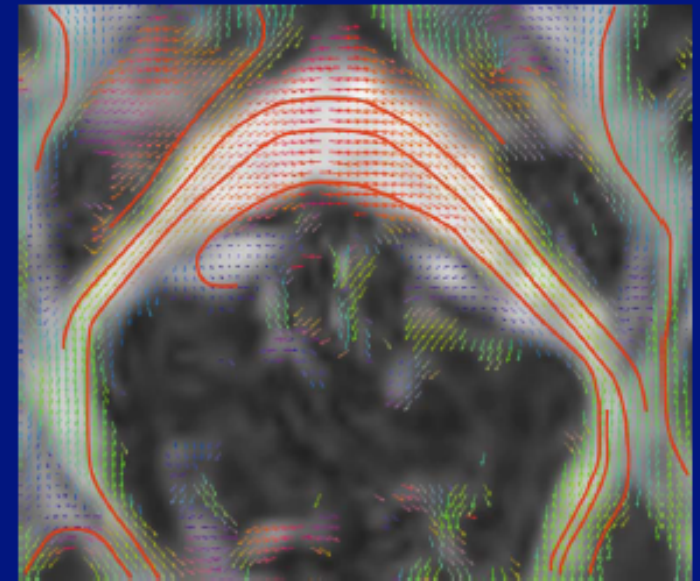


ellipsoid measures  
(~*smoothing of  
real structures*)



some kind of algorithm  
for connecting

=



estimate spatial  
extents of WM 'tracts'  
in vivo

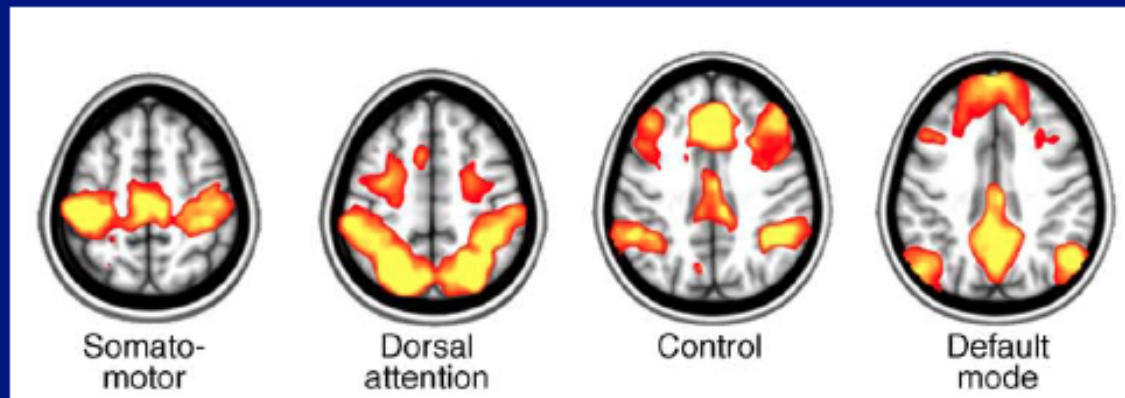
Applying tractography

# Structure + Function

Simple example:

**FMRI provides:**  
maps of (GM) regions working together

GM ROIs  
network:



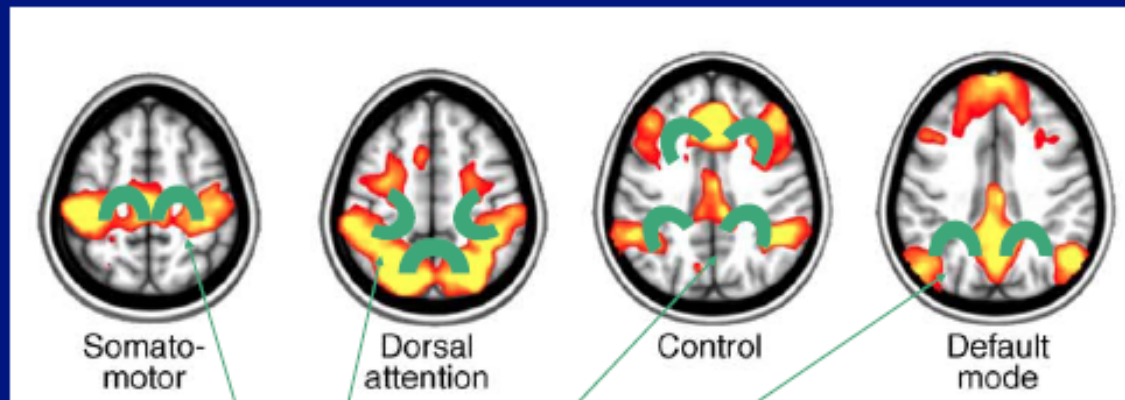
*Raichle (2010, TICS)*

# Structure + Function

Simple example:

**FMRI provides:**  
maps of (GM) regions working together

GM ROIs  
network:



*Raichle (2010, TICS)*

Associated WM ROIs

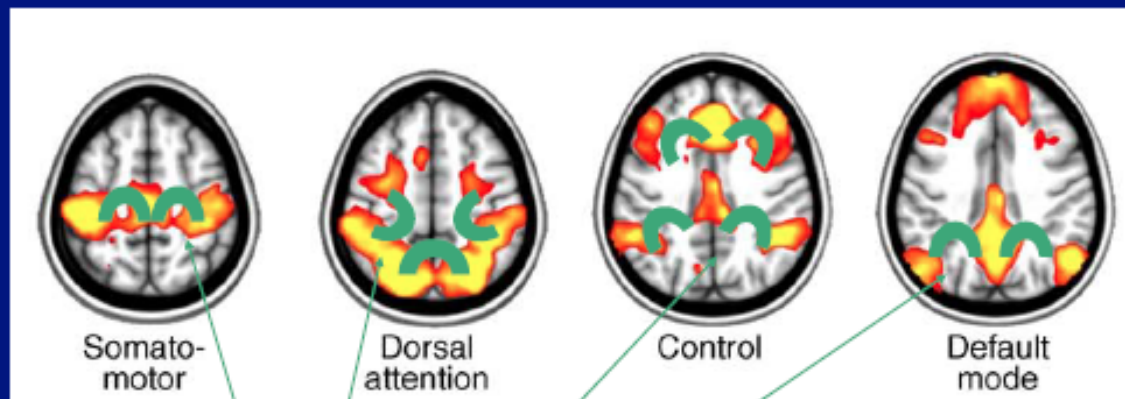
# Structure + Function

Simple example:

**FMRI provides:**

maps of (GM) regions working together

GM ROIs  
network:



*Raichle (2010, TICS)*

**Associated WM ROIs**

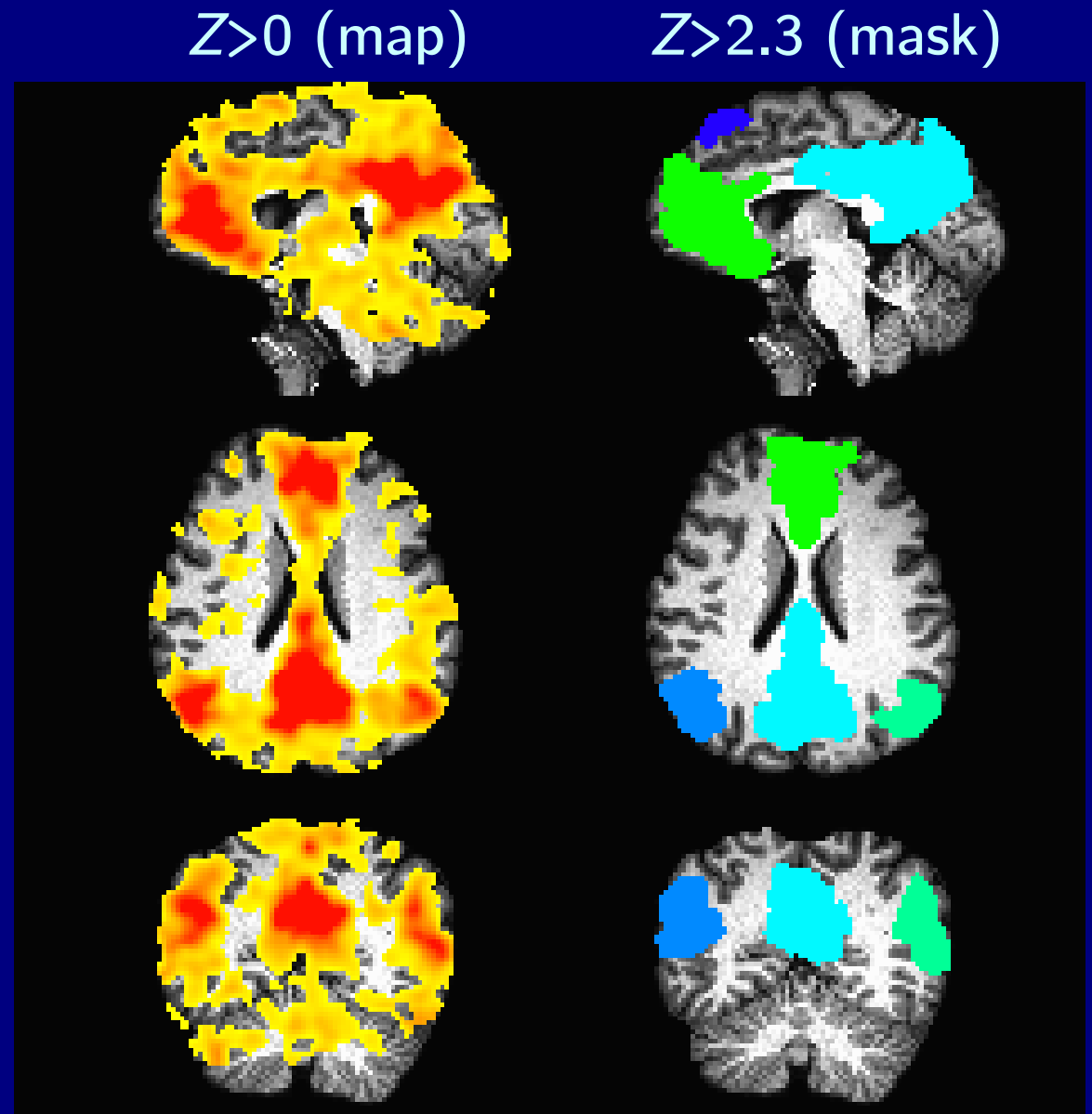
Our goal for tractography->

*estimate likely/probable locations of WM associated with GM,  
and relate ROI quantities with functional/GM properties*



# Example: Tractographic selections of WM

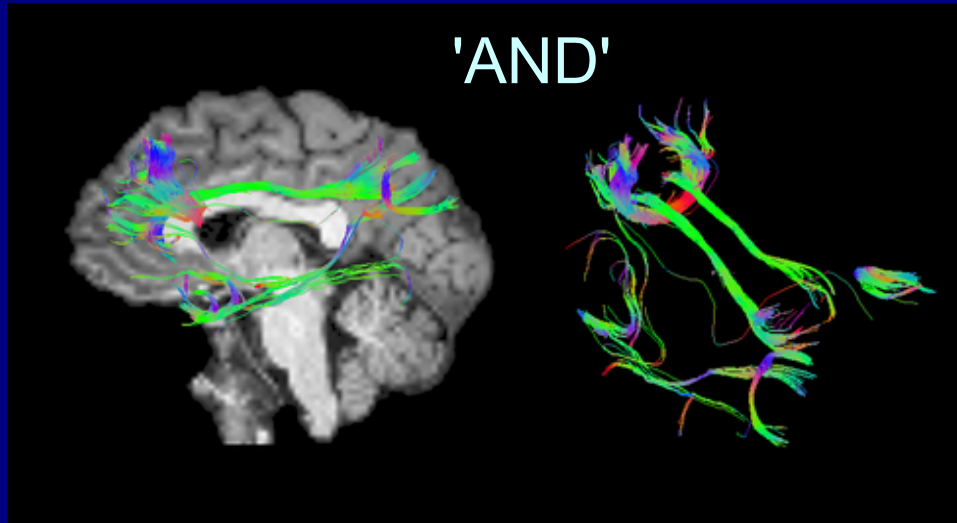
- 1) Start with FMRI:  
→ threshold to obtain  
networks of GM ROIs



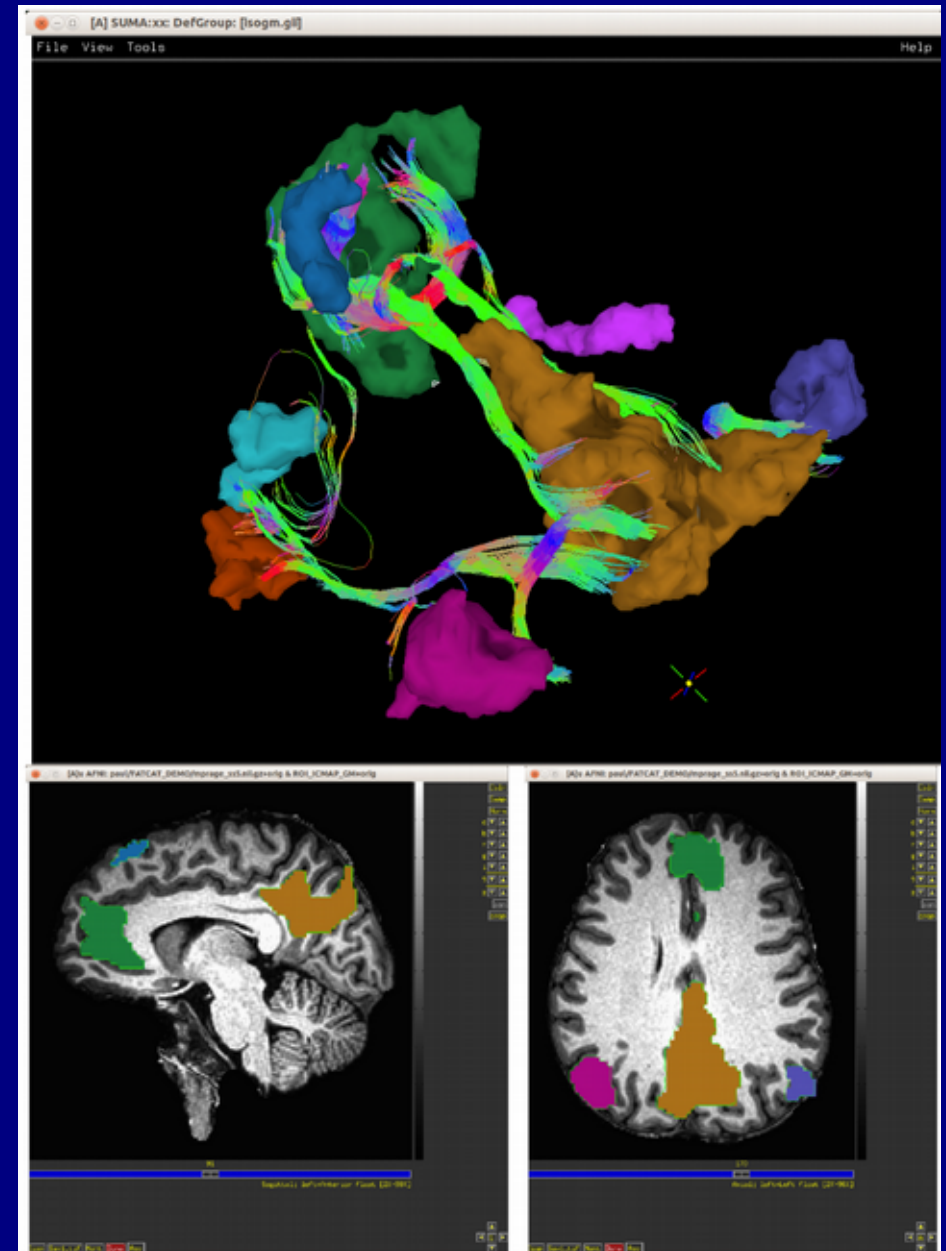
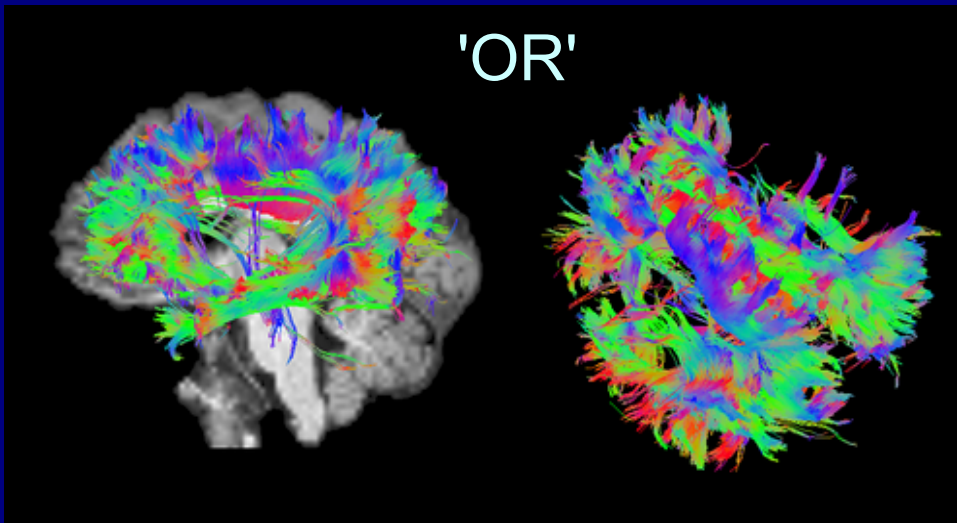
# Example: Tractographic selections of WM

- 2) Use DTI-tractography to find likely location of WM associated with these 'targets'

'AND'



'OR'

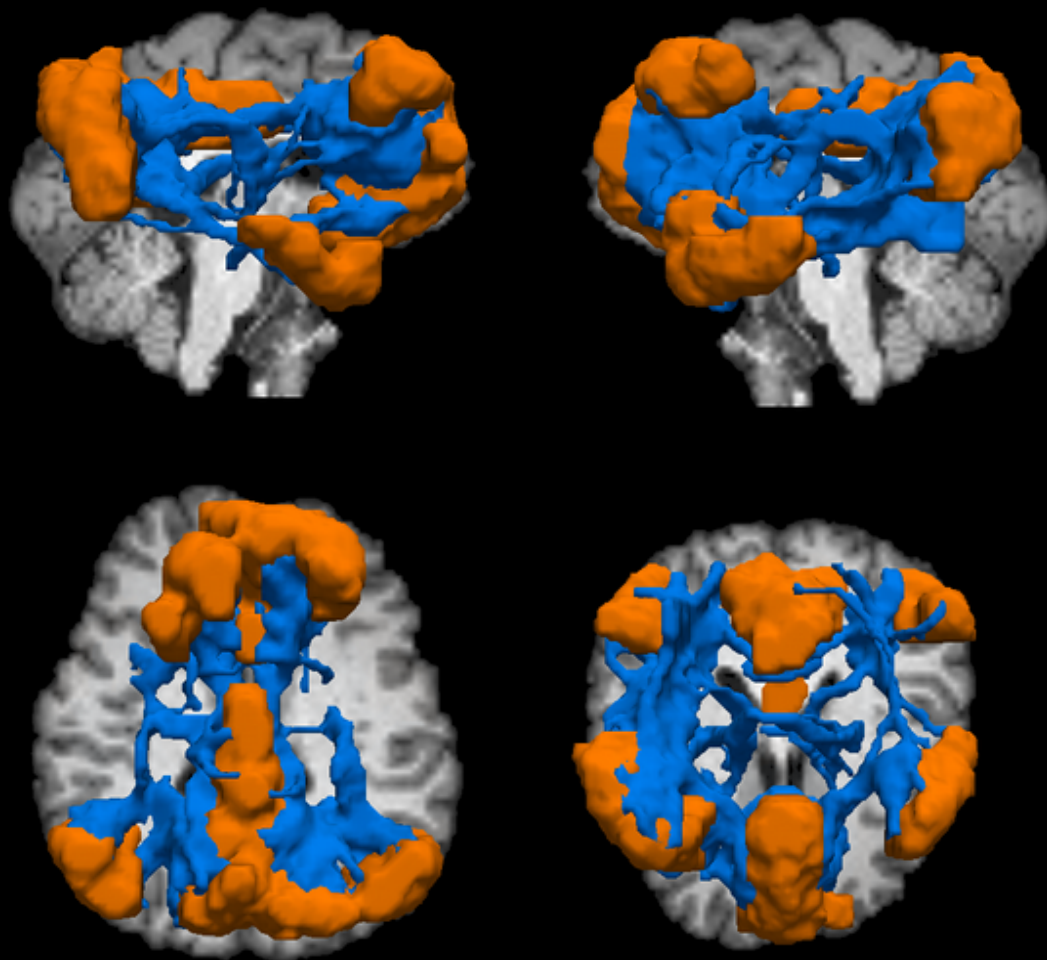


*(Deterministic tracking using publicly available AFNI-FATCAT software)*

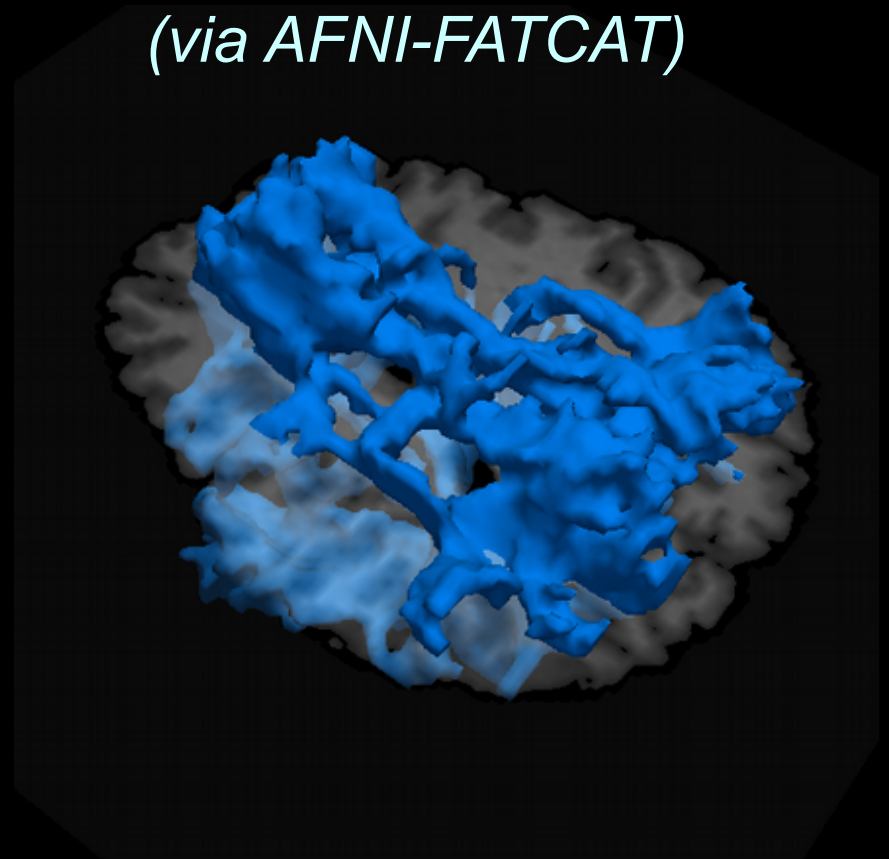
# Example: Probabilistic tractography

More robust tracking method (many Monte Carlo iterations)

→ '*most likely*' locations of WM

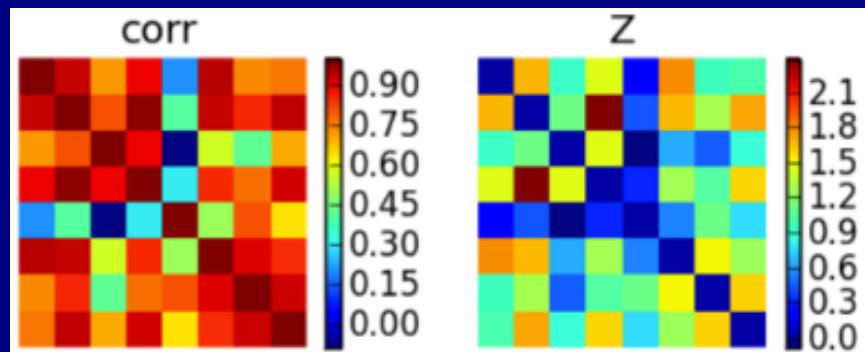


orange = GM ROIs  
blue = WM estimates  
(via AFNI-FATCAT)

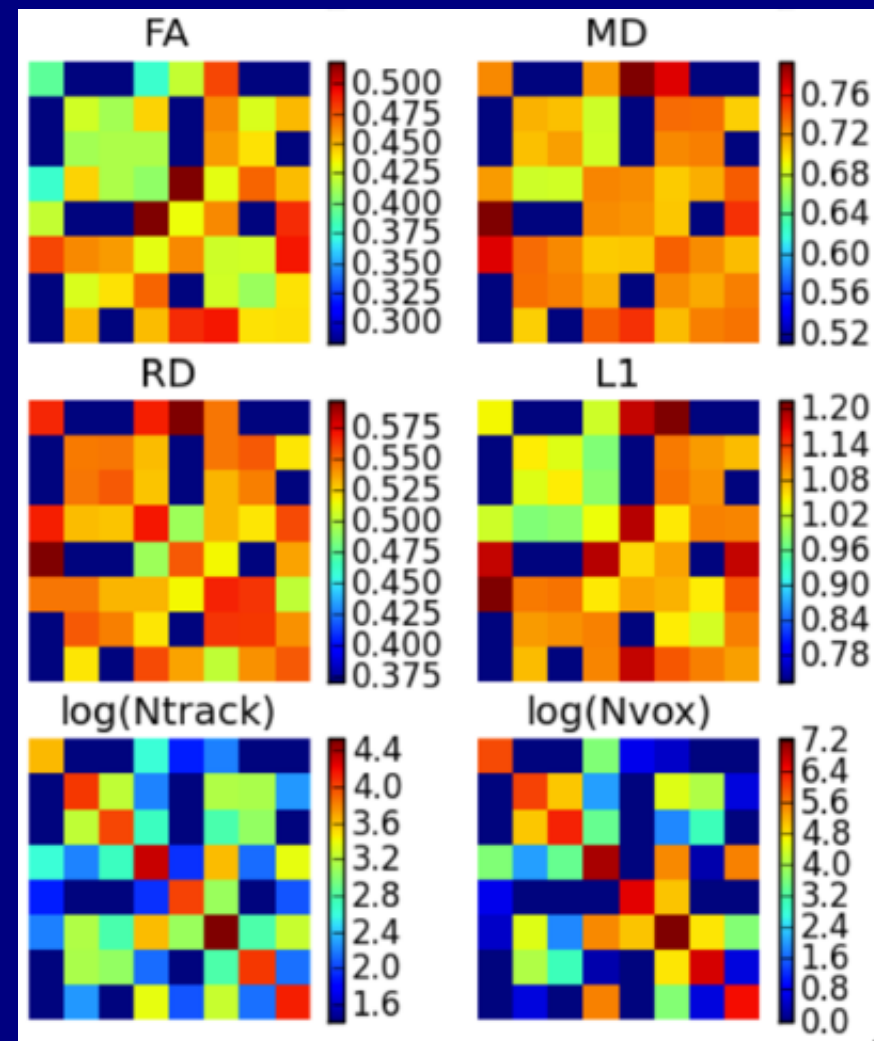


# Network quantities: connectivity matrices

**FMRI:** correlation matrices  
quantify functional connectivity  
in GM

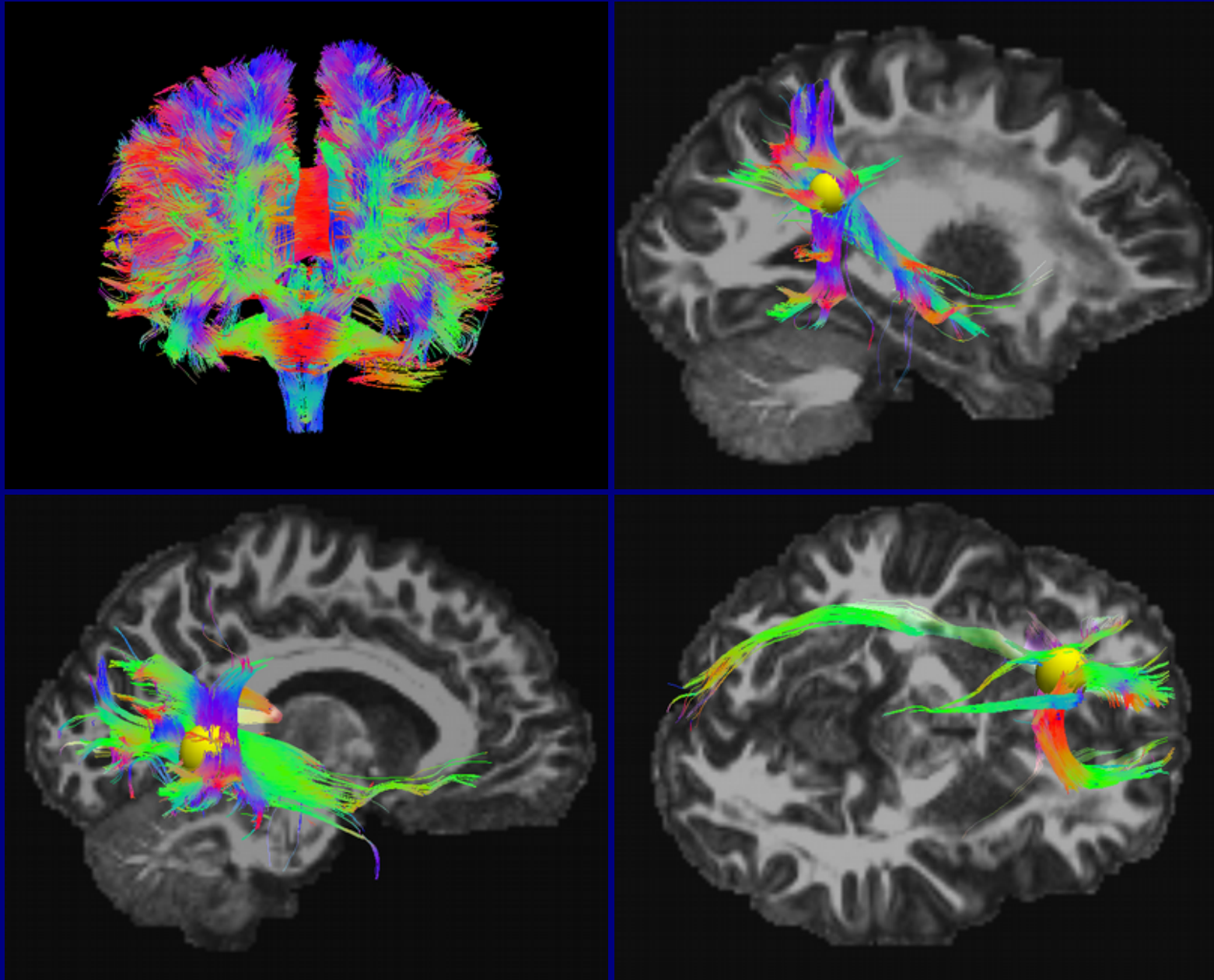


**DTI:** Structural connectivity  
matrices quantify properties  
in WM





# Investigate tracts in 3D



Human Connectome Project subject, 288 grads, HARDI reconstructed with GQI in DSI-Studio, tracking in AFNI-FATCAT, visualized in SUMA.

## *Application 1:*

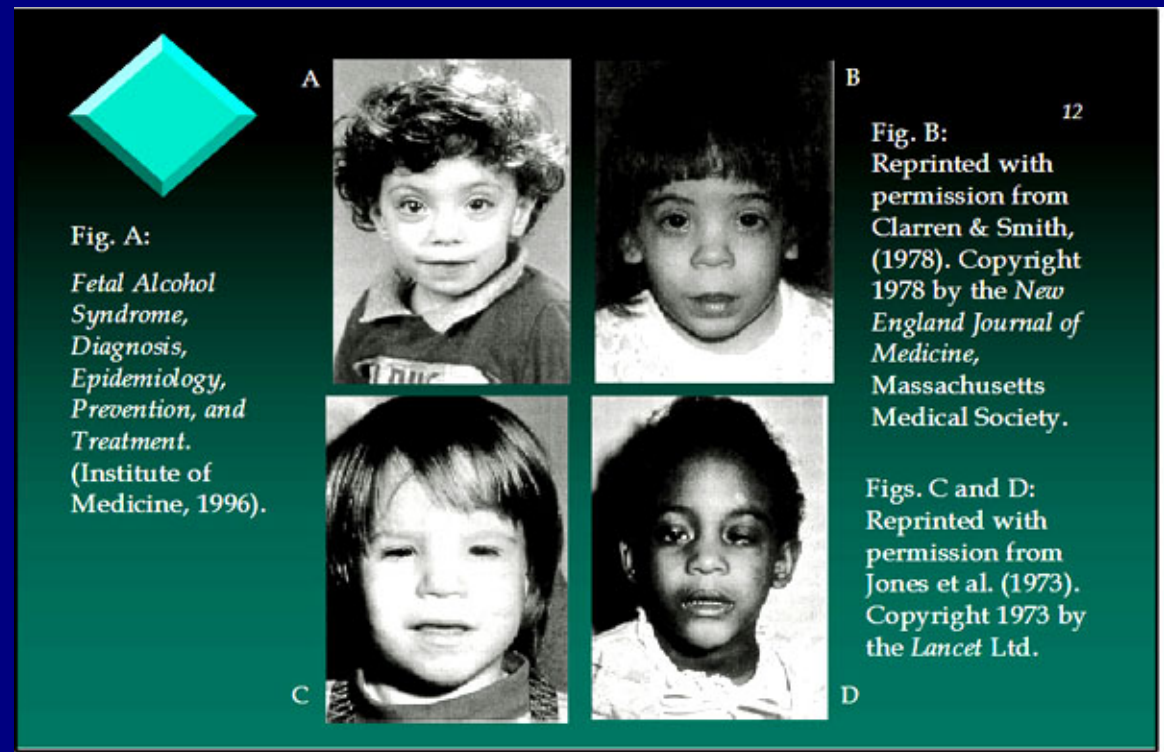
Network-based group analysis,  
applied to a DTI + tractography study  
of newborns with prenatal alcohol exposure

Taylor PA, Jacobson SW, van der Kouwe AJW, Molteno C, Chen G, Wintermark P, Alhamud A, Jacobson JL, Meintjes EM (2015). *A DTI-Based Tractography Study of Effects on Brain Structure Associated with Prenatal Alcohol Exposure in Newborns.* Human Brain Mapping 36(1):170-186.

# Prenatal alcohol exposure (PAE)

- Alcohol is a teratogen, disrupting healthy development.
  - leads to various **Fetal Alcohol Spectrum Disorders (FASD)**
- FASD often occurs in children whose pregnant mothers binge drank
  - e.g.,  **$\geq 4$  drinks/occasion and/or  $\geq 14$  drinks/wk**

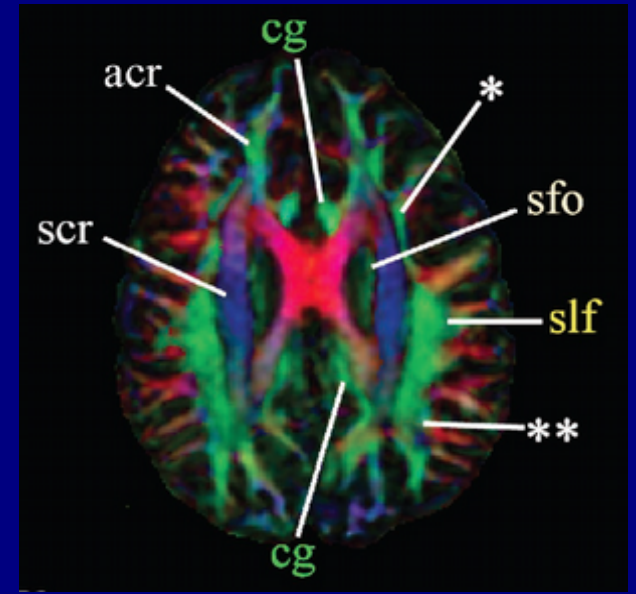
- Results in *poor*:
  - academic performance
  - language/math skills
  - impulse control
  - abstract reasoning
  - memory, attention and facial and skeletal dysmorphology



# Goals of this study

*Use DTI and tractography to:*

- 1) Delineate similar WM across all subjects
- 2) Compare brain development in PAE newborns with controls.
  - i. which WM shows strongest association with PAE?
  - ii. which DTI parameter is most sensitive to alcohol exposure?  
(while controlling for factors of age, maternal cig, etc.)

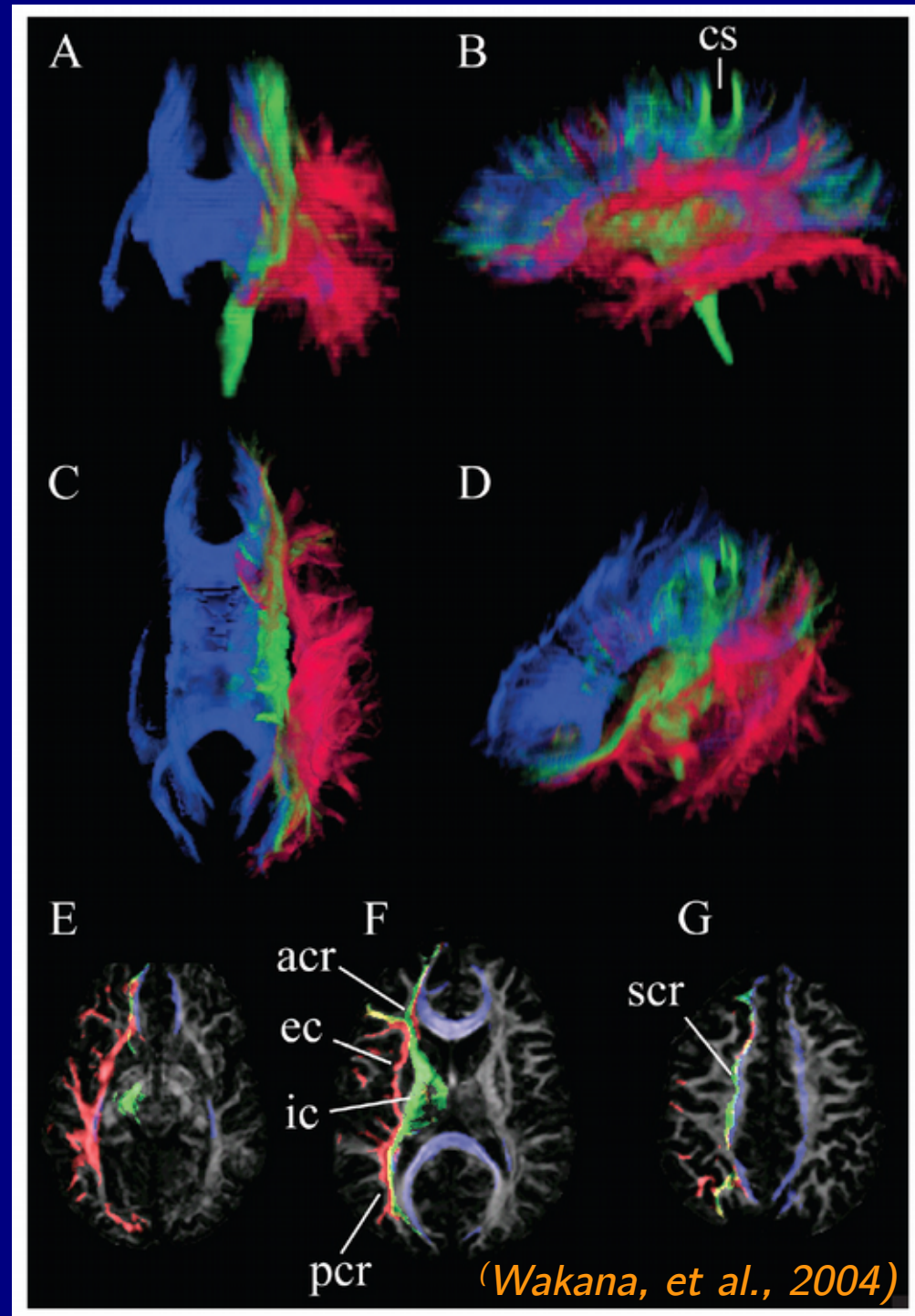




# Tracking WM fibers

Tracking can be a useful alternative to maps/atlas for finding characteristic subsets, families or networks of the same WM bundles within each subject, for example:

Transcallosal  
Projection  
Association



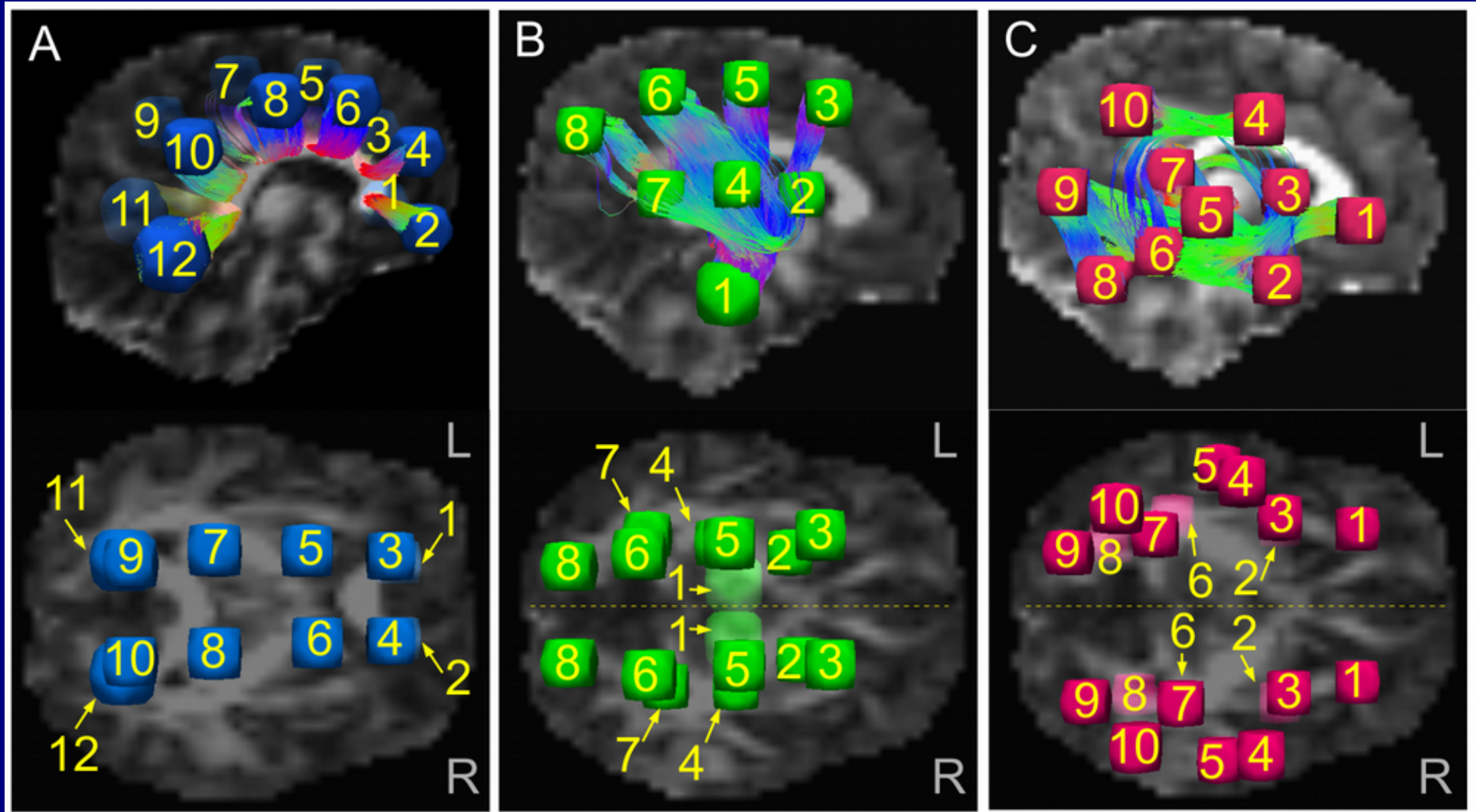
# I) Setting up DTI-tractography

Location of “landmark” targets for tractography: 5 networks

CC and Cor. Rad.  
(CCCR)

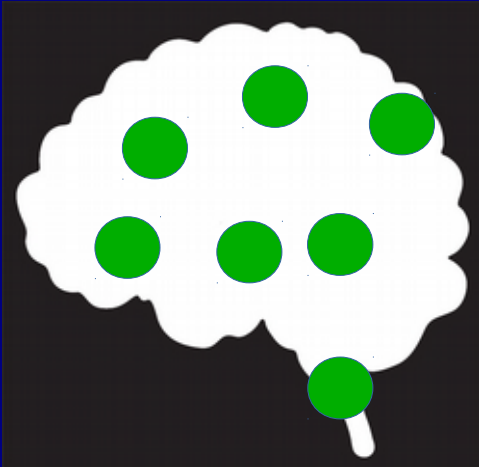
Projection  
(L/R-PROJ)

Association  
(L/R-ASSOC)



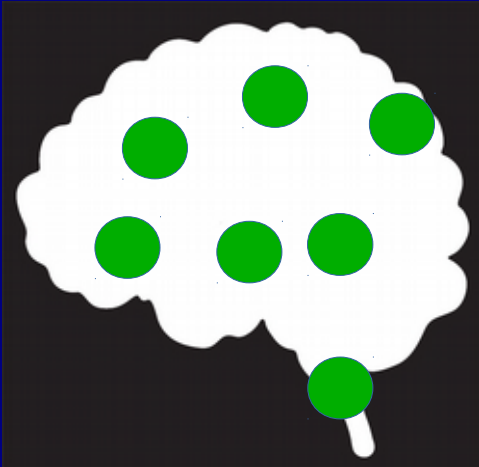
## II) Network-based Analysis Steps

### 1) Place network targets

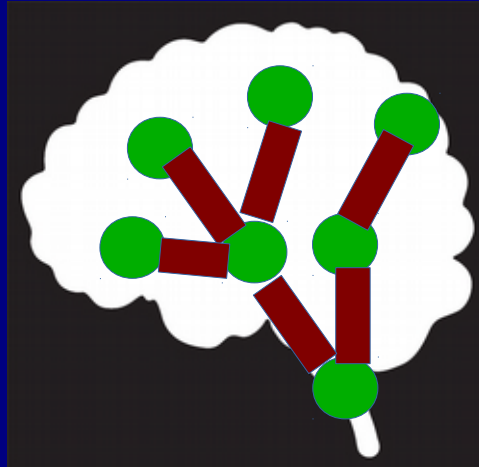


## II) Network-based Analysis Steps

1) Place network targets

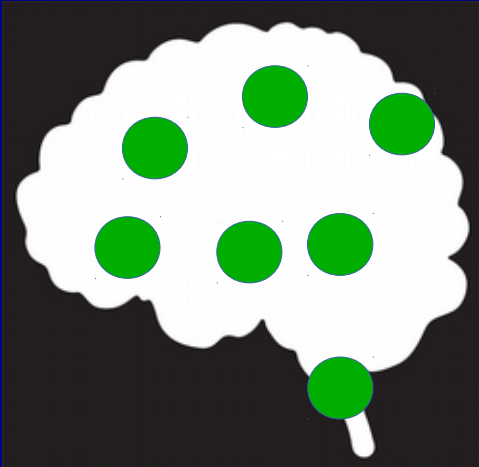


2) Probabilistic tracking

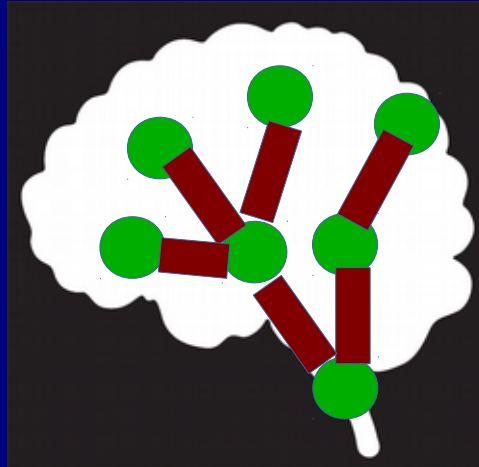


## II) Network-based Analysis Steps

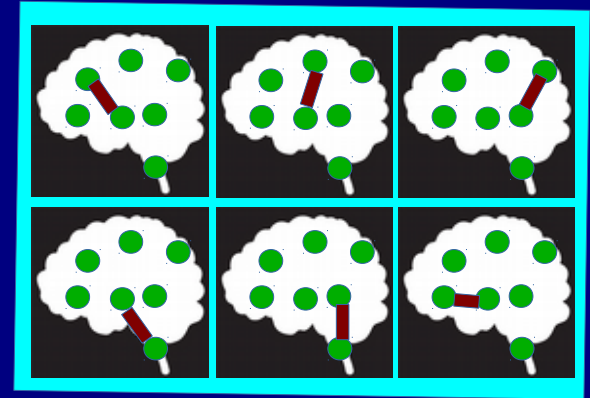
1) Place network targets



2) Probabilistic tracking

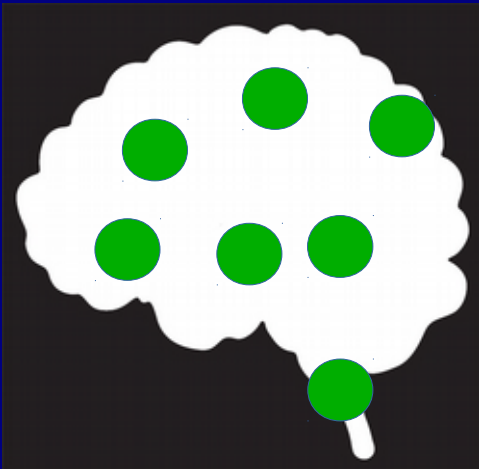


3) set of WM ROIs  $\rightarrow$  set of repeated measures

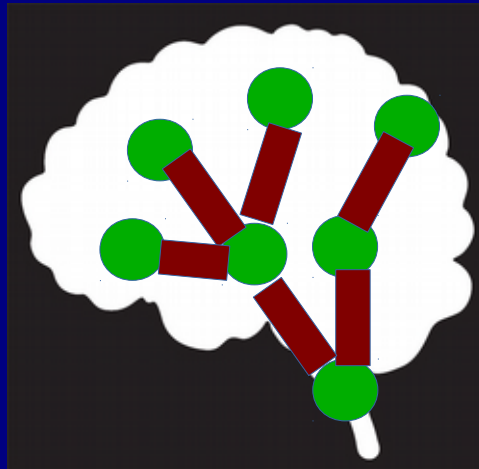


## II) Network-based Analysis Steps

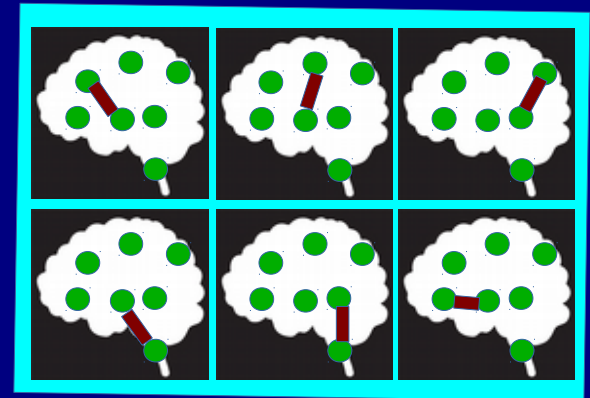
1) Place network targets



2) Probabilistic tracking



3) set of WM ROIs → set of repeated measures



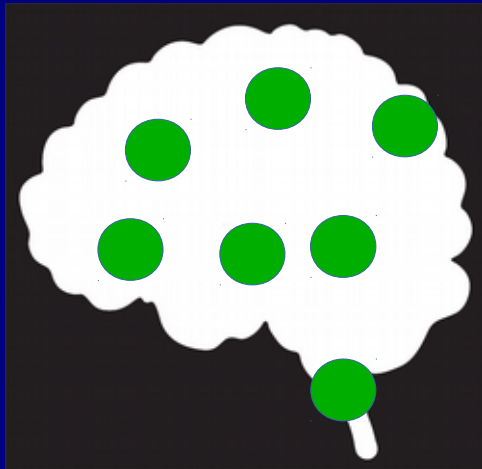
4) Multivariate model

- $\{FA_1, FA_2, FA_3, \dots\}$
- alc
- infant age
- infant sex
- maternal age
- maternal cig/day

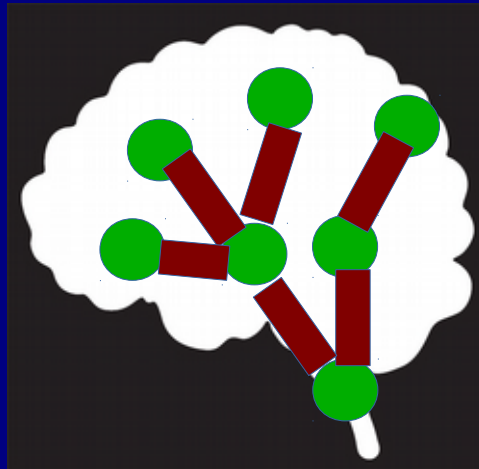
➡ AFNI's 3dMVM, written by G. Chen

## II) Network-based Analysis Steps

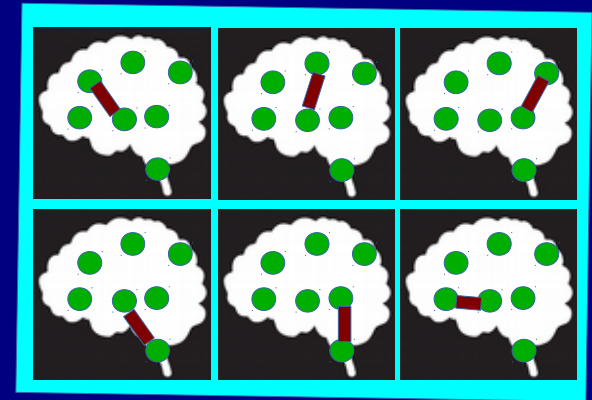
1) Place network targets



2) Probabilistic tracking



3) set of WM ROIs → set of repeated measures

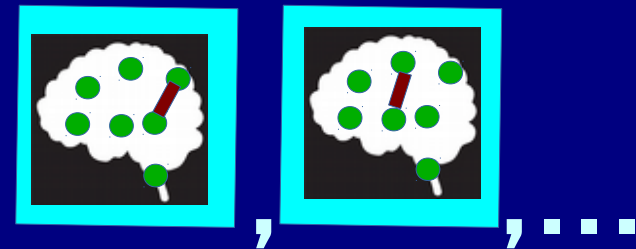


4) Multivariate model

- $\{FA_1, FA_2, FA_3, \dots\}$
- alc
- infant age
- infant sex
- maternal age
- maternal cig/day

5) Follow-up GLM for each WM ROI

- FA
- alc
- infant age
- infant sex
- maternal age
- maternal cig/day



➔ AFNI's 3dMVM, written by G. Chen

## II) Results: network level

The questions:

- 1) which WM networks are affected by PAE?
- 2) which parameters show effects most strongly?

Answer using:

- (for each network) a multivariate GLM for
  - set of DTI parameters
  - alcohol (frequency: binge/wk)
  - infant age (wks since conception)
  - infant sex (M/F)
  - maternal age (yrs)
  - maternal cigarette smoking (cig/day).



# II) Results: network level

The questions:

- 1) which WM networks are affected by PAE?
- 2) which parameters show effects most strongly?

*Parameters showing at least trends ( $p < 0.1$ ) →*

← Networks

Network	FA				MD				L1				PD			
	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$
CCCR					alc	-0.70	8.6 (1, 14)	0.011*	alc	-0.72	14.0 (1, 14)	0.002**	cig	0.47	3.5 (1, 14)	0.083
					mat_age	0.56	5.5 (1, 14)	0.034*	mat_age	0.53	6.3 (1, 14)	0.025*				
L-PROJ	cig	0.12	4.2 (11, 4)	0.091	alc	-0.41	3.9 (10, 140)	0.000***	alc	-0.52	4.1 (10, 140)	0.000***	cig	0.52	4.0 (1, 14)	0.066
					mat_age	0.37	4.4 (1, 14)	0.056	mat_age	0.44	6.5 (1, 14)	0.023*				
R-PROJ					alc	-0.41	1.9 (12, 168)	0.035*	alc	-0.45	2.7 (12, 168)	0.002**	cig	0.48	3.4 (1, 14)	0.085
	age	0.33	8.6 (13, 2)	0.109	age	-0.41	5.8 (1, 14)	0.031*	age	-0.39	5.3 (1, 14)	0.038*				
	mat_age	-0.16	9.2 (13, 2)	0.103	sex	-0.20	4.3 (1, 14)	0.056	sex	-0.39	5.9 (1, 14)	0.029*				
L-ASSOC					alc	-0.65	6.0 (7, 8)	0.011*	alc	-0.66	8.1 (1, 14)	0.013*	cig	0.49	3.6 (1, 14)	0.080
					mat_age	0.44	3.8 (1, 14)	0.071	age	-0.16	2.5 (6, 84)	0.030*				
R-ASSOC									mat_age	0.43	4.7 (1, 14)	0.048*				
	alc	0.23	1.8 (7, 98)	0.090	alc	-0.62	10.2 (1, 14)	0.007**	alc	-0.67	14.1 (1, 14)	0.002**	cig	0.5	3.5 (1, 14)	0.082
									cig	-0.29	3.9 (1, 14)	0.068				

\*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ .

# II) Results: network level

The questions:

- 1) which WM networks are affected by PAE?
- 2) which parameters show effects most strongly?

Parameters showing at least trends ( $p < 0.1$ ) →

← Networks

	FA				MD				L1				PD			
Network	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$	var.	$\beta_{med}$	$F(df_N, df_D)$	$p$
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	mat_age	-0.16	9.2 (13, 2)	0.103	age	-0.41	5.8 (1, 14)	0.031*	age	-0.39	5.3 (1, 14)	0.038*				
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									cig	-0.29	3.9 (1, 14)	0.068				

\*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ .

→ Significant alcohol associations in ~every WM network

## II) Results: network level

The questions:

- 1) which WM networks are affected by PAE?
- 2) which parameters show effects most strongly?

Parameters showing at least trends ( $p < 0.1$ ) →

← Networks

Network	<del>FA</del>				MD				L1				PD			
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	mat_age	-0.16	9.2 (13, 2)	0.103	alc	-0.65	6.0 (7, 8)	0.011*	alc	-0.66	8.1 (1, 14)	0.013*	cig	0.49	3.6 (1, 14)	0.080
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									cig	-0.29	3.9 (1, 14)	0.068				

\*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ .

→ Increased alcohol exposure:  
decreased L1 (“parallel diffusivity”)

# III) Results: ROI level

The question:

1) where are most significant AD-alcohol relations in each network?

Answer using:

- (for each ROI) a GLM for
  - single DTI parameter
  - alcohol (frequency: binge/wk)
  - infant age (wks since conception)
  - infant sex (M/F)
  - maternal age (yrs)
  - maternal cigarette smoking (cig/day).

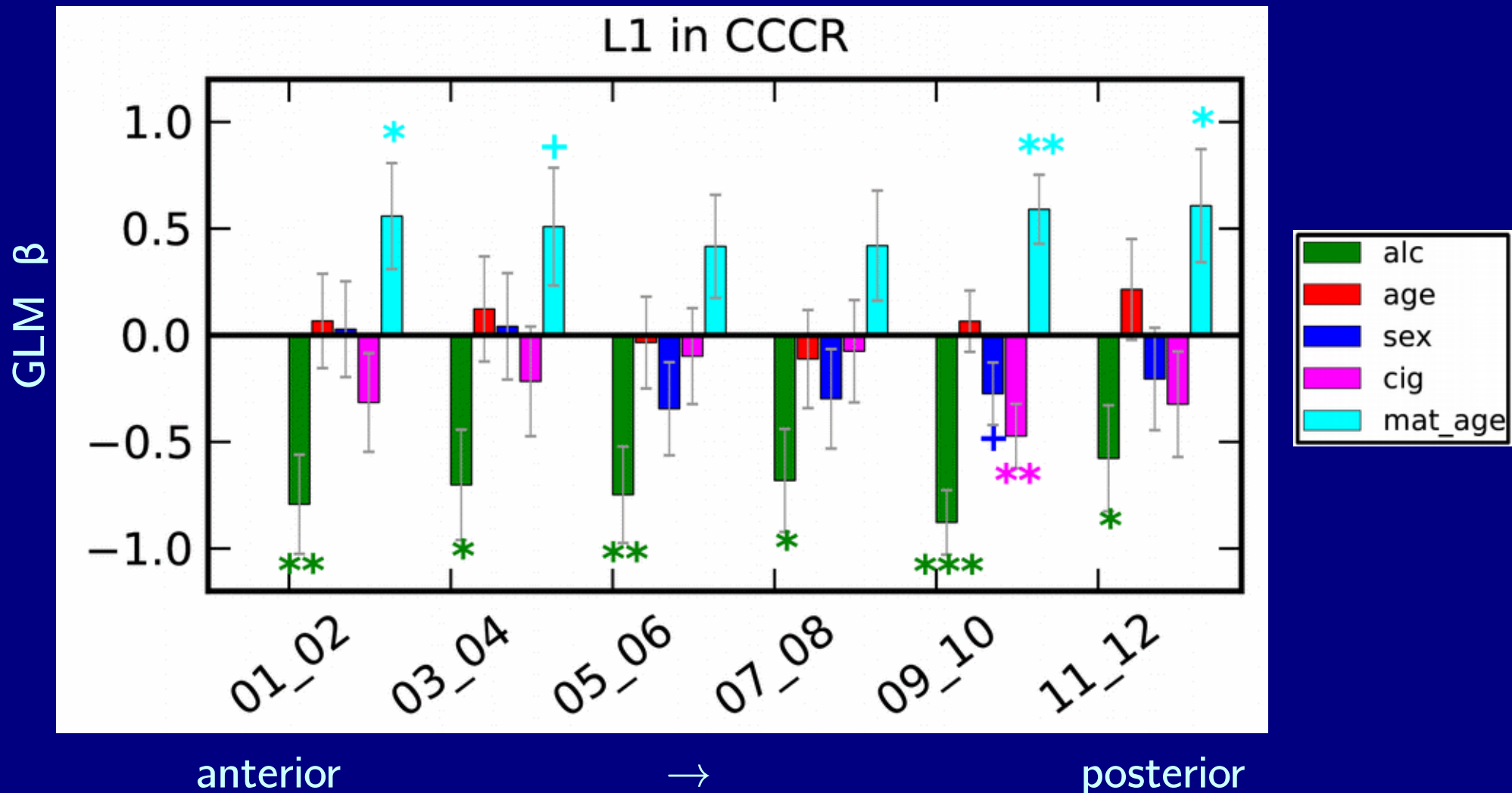
	alc
	age
	sex
	cig
	mat_age

# III) Results: ROI level

The question:

1) where are most significant AD-alcohol relations in each network?

Transcallosal (CC and corona radiata)

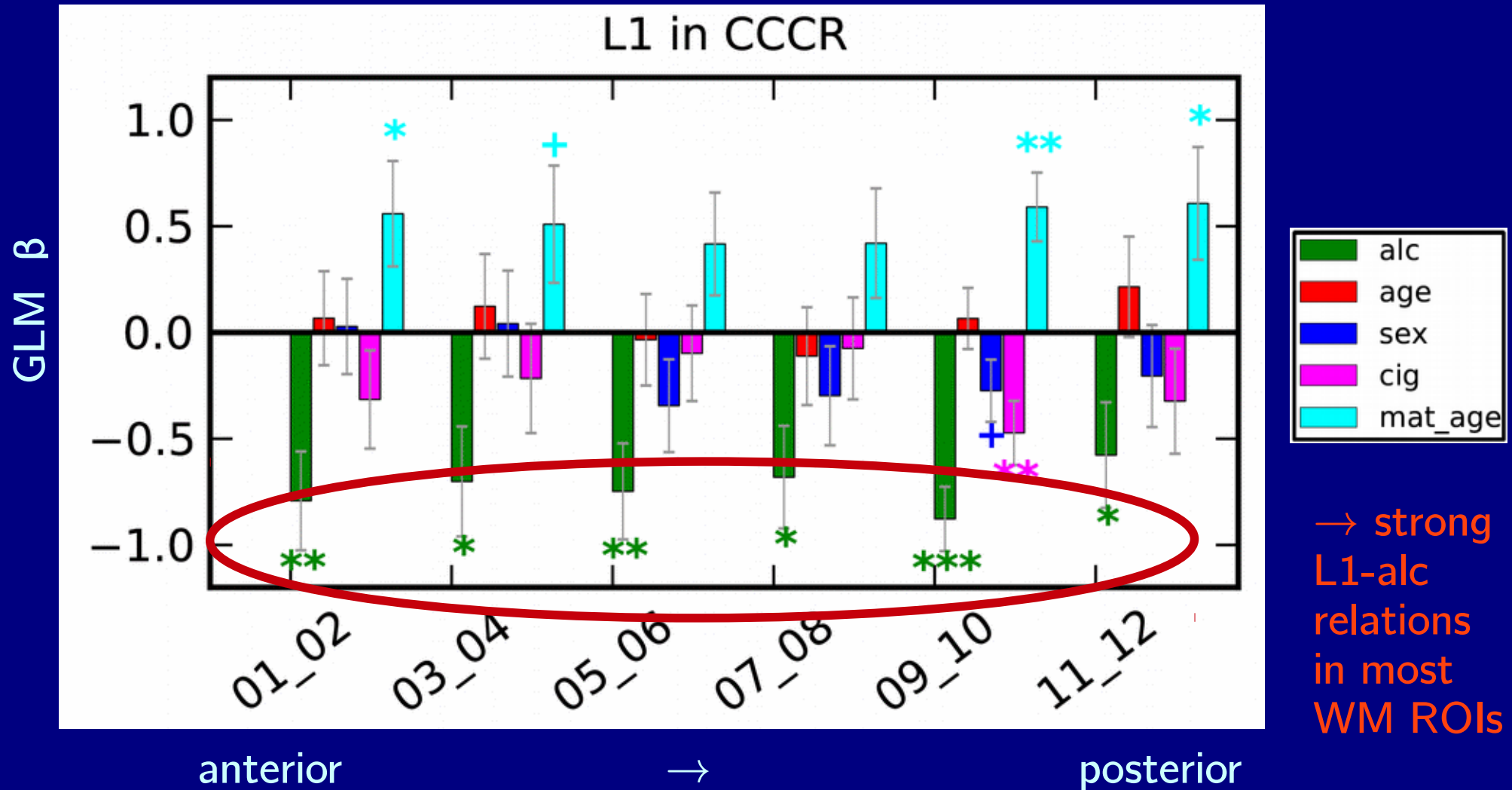


# III) Results: ROI level

The question:

1) where are most significant AD-alcohol relations in each network?

Transcallosal (CC and corona radiata)



*Application 2:*

Tractography to study effective placement  
of DBS electrodes



## *Application 2:*

Tractography to study effective placement  
of DBS electrodes

NB:

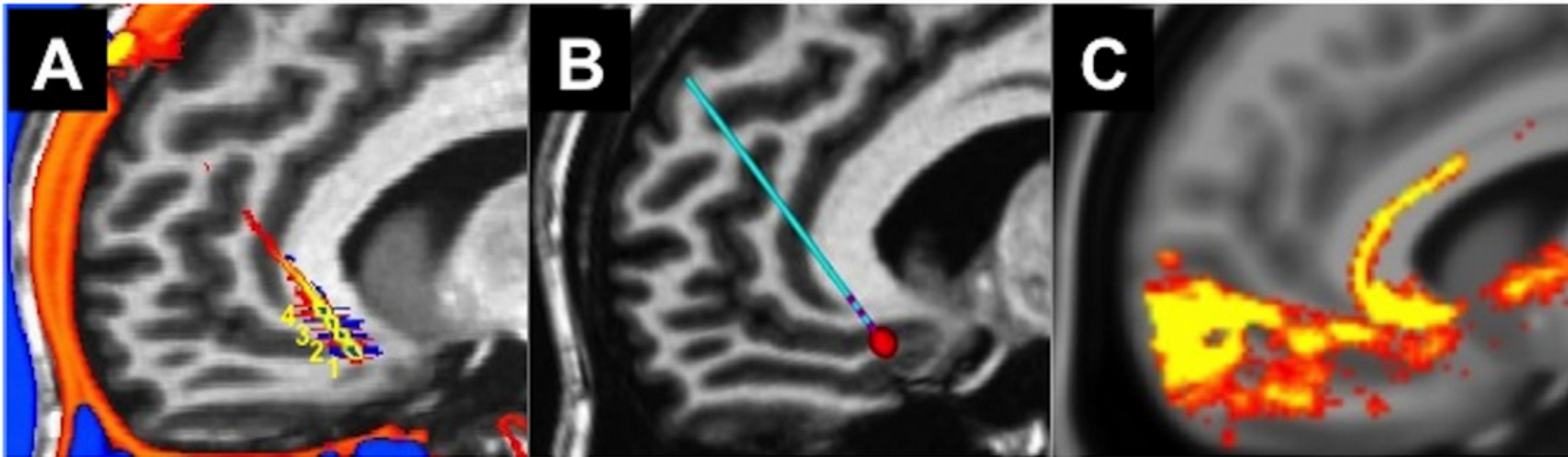
The following work has been done by Dr. Helen Mayberg's  
group at Emory University, Atlanta, USA.

Following data and images taken (with kind permission) from:  
*Defining Critical White Matter Pathways Mediating  
Successful Subcallosal Cingulate Deep Brain Stimulation  
for Treatment-Resistant Depression* (Riva-Posse, Choi, et al., 2014)



# Initial Study: good locations for electrodes?

- 17 patients diagnosed with treatment-resistant depression (TRD)
- Electrodes were placed in subcallosal cingulate WM  
+ initial placement by anatomy, from previous studies.



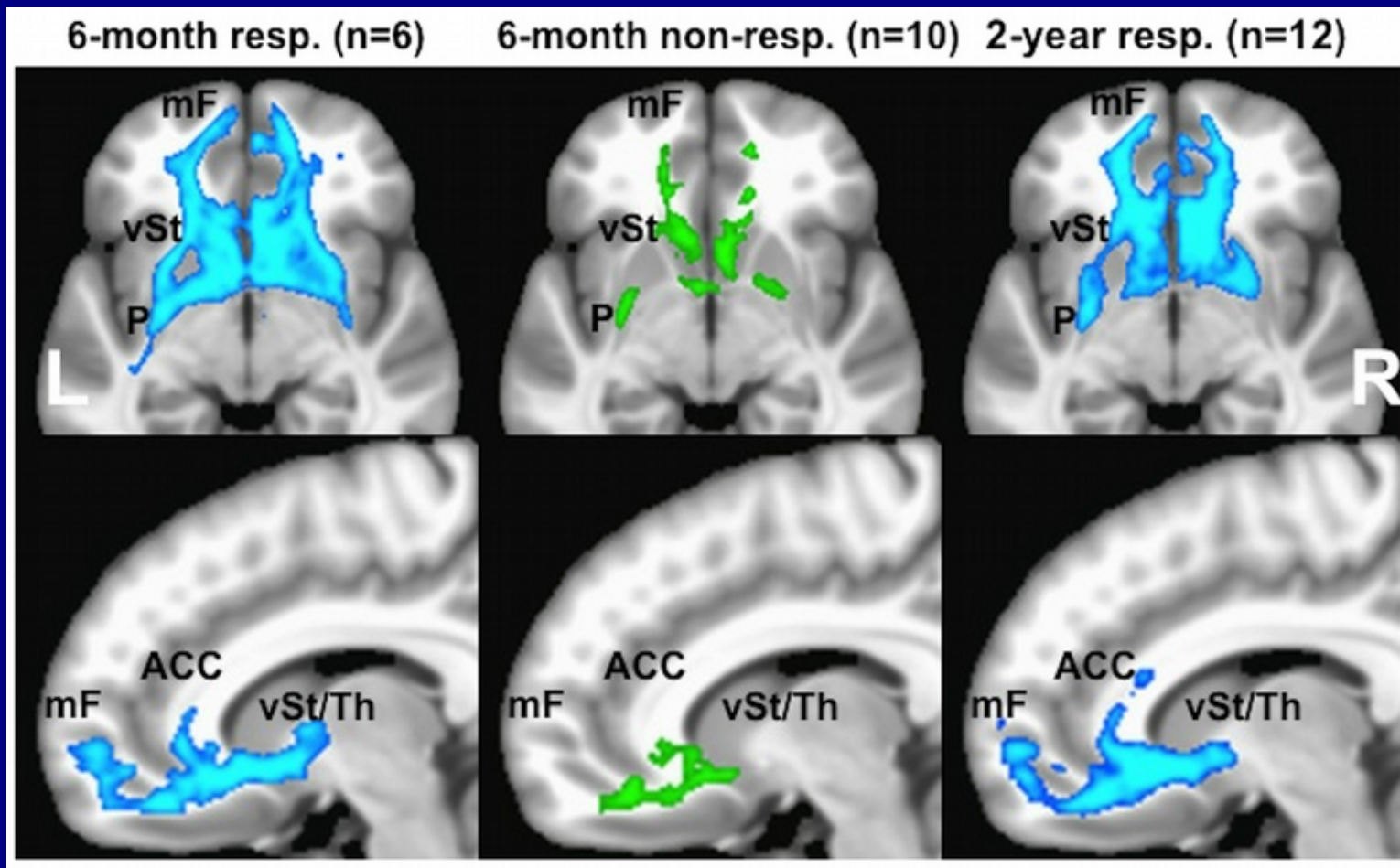
Anatomical location  
of electrodes

Estimated activation  
volume

Check: WM tracts  
passing through  
activation volume

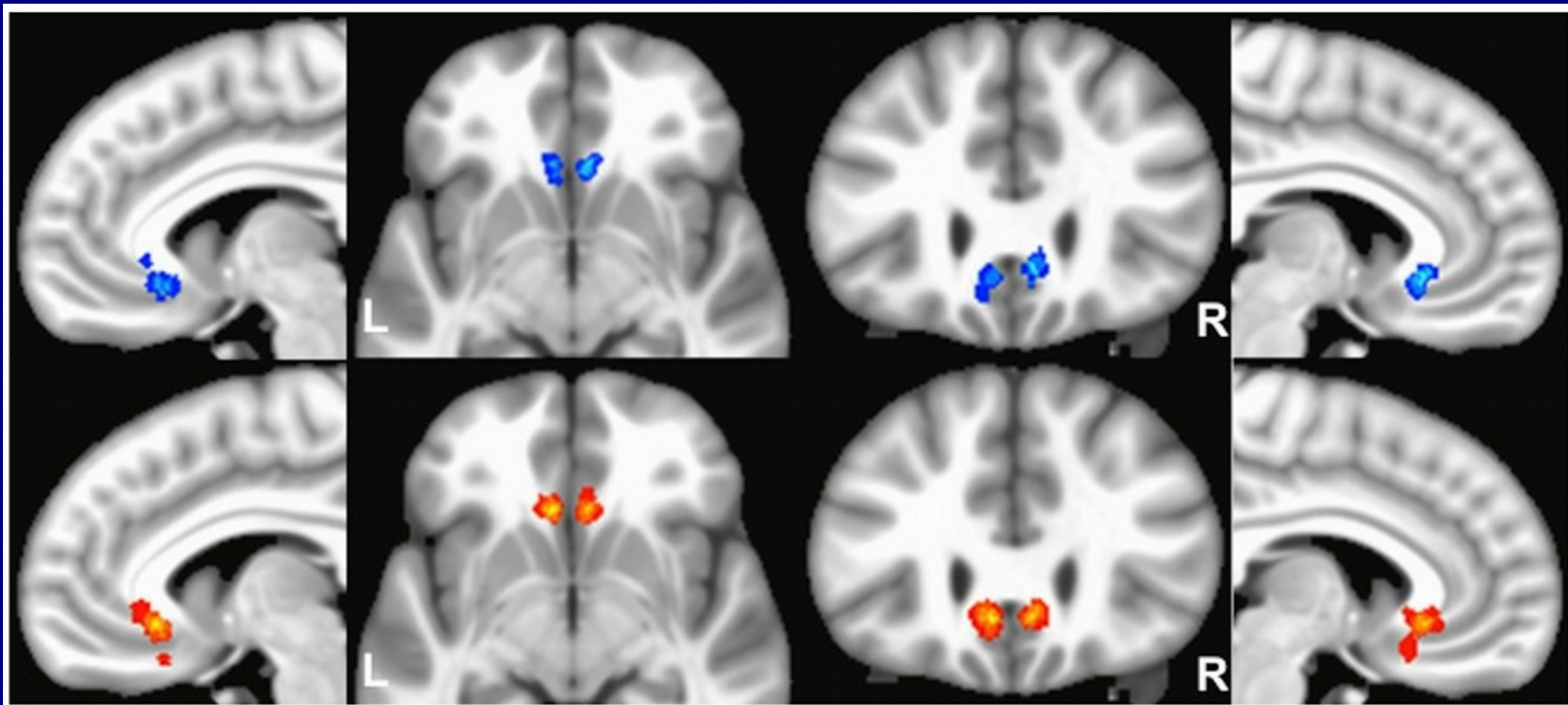
# Followup with subjects: tracking

- Responses were measured at 6 mo and 2 yr.  
(17-item Hamilton Depression Rating Scale)
- Subjects categorized as 'Responders' or 'Nonresponders'
- Group comparisons of tract maps around electrodes  
→ *consistent differences seen*



# Followup with subjects: anatomy

- Group comparisons of anatomical electrode locations  
→ *no significant difference*

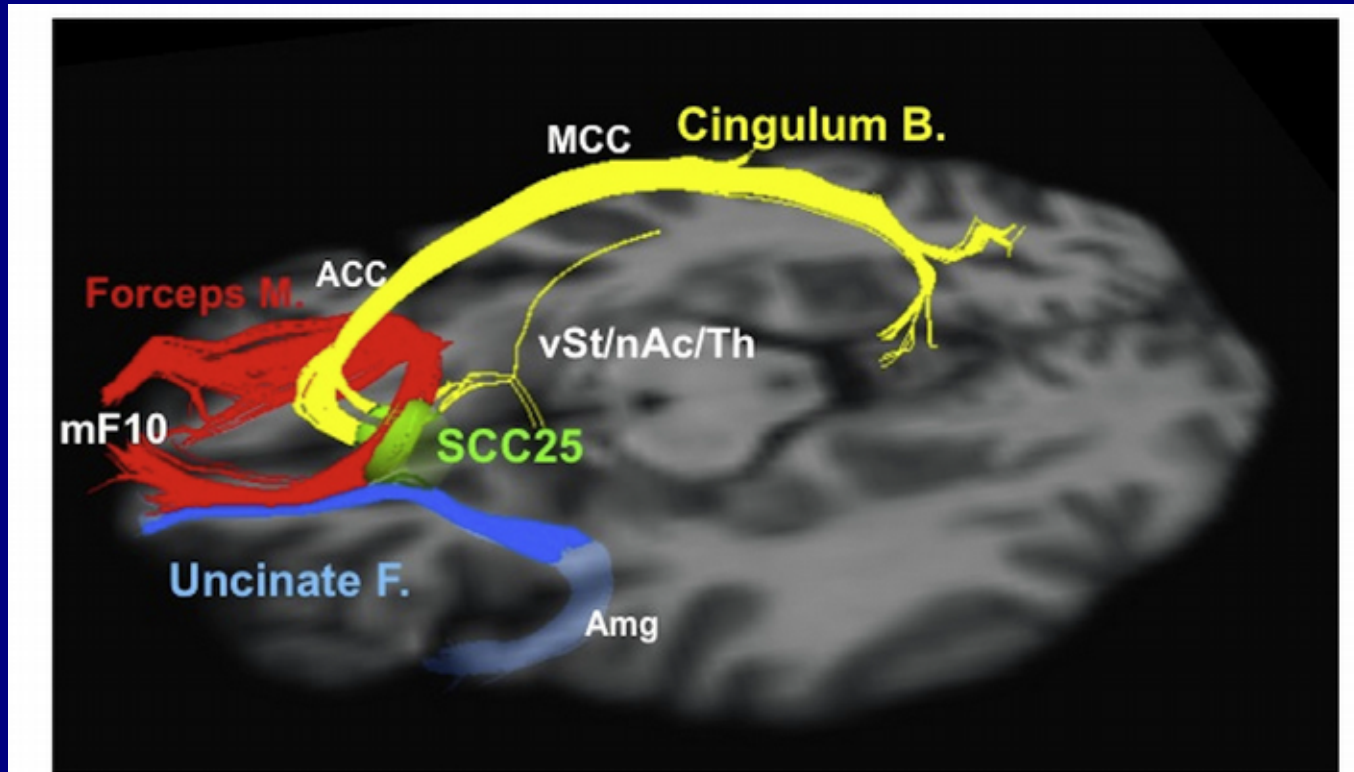


(Resp. in blue, nonresp. in yellow/red)



# Optimal location based on tracks

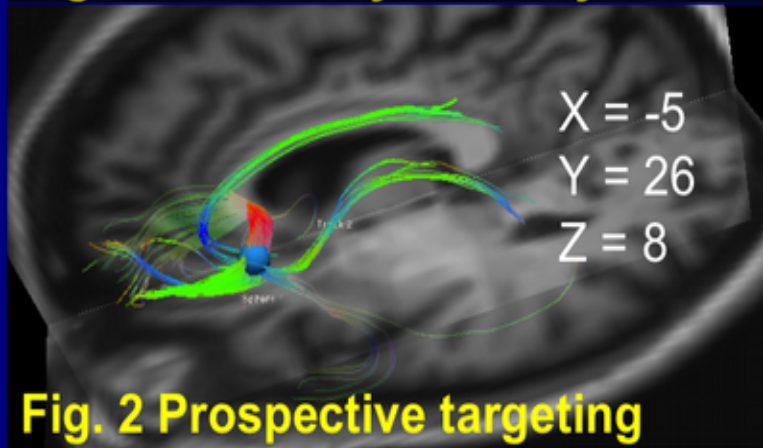
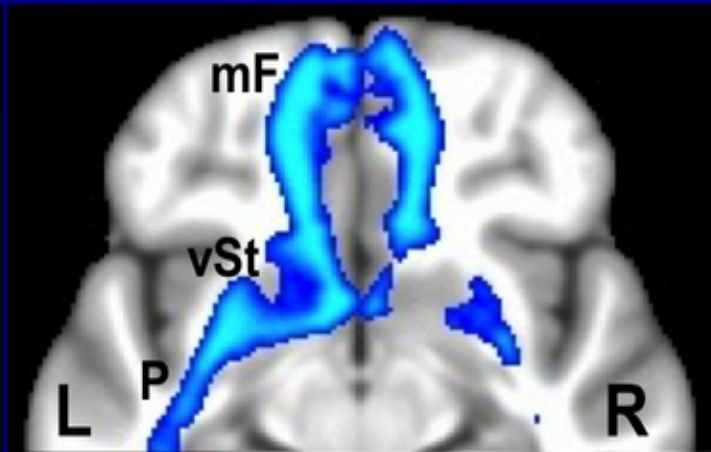
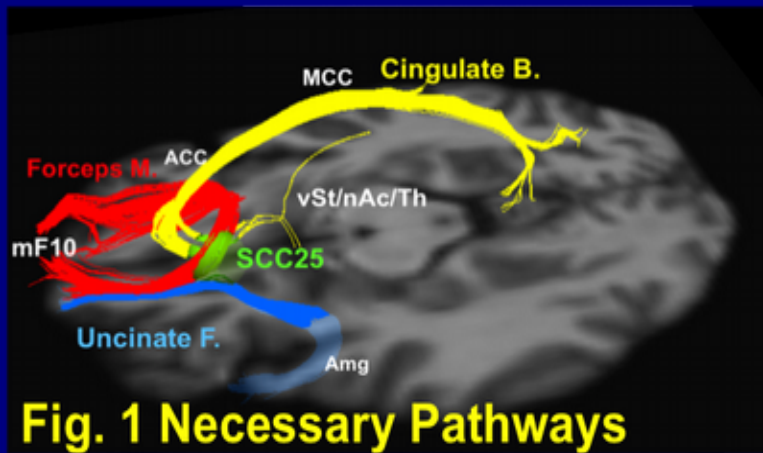
- From responder similarities in tractography paths (and differences to nonresponders), optimal location for DBS electrodes are indicated



**Figure 5.** Optimal subcallosal cingulate deep brain stimulation fiber bundle target template. Red: forceps minor. Blue: uncinate fasciculus. Yellow: cingulate bundle. ACC, anterior cingulate cortex; Amg, amygdala; Cingulum B., cingulum bundle; Forceps M., forceps minor; MCC, middle cingulate cortex; mF10, medial frontal (Brodmann area 10); nAc, nucleus accumbens; SCC25, subcallosal cingulate cortex (Brodmann area 25); Th, thalamus; Uncinate F., uncinate fasciculus; vSt, ventral striatum.

# Next: feedback for electrode placement

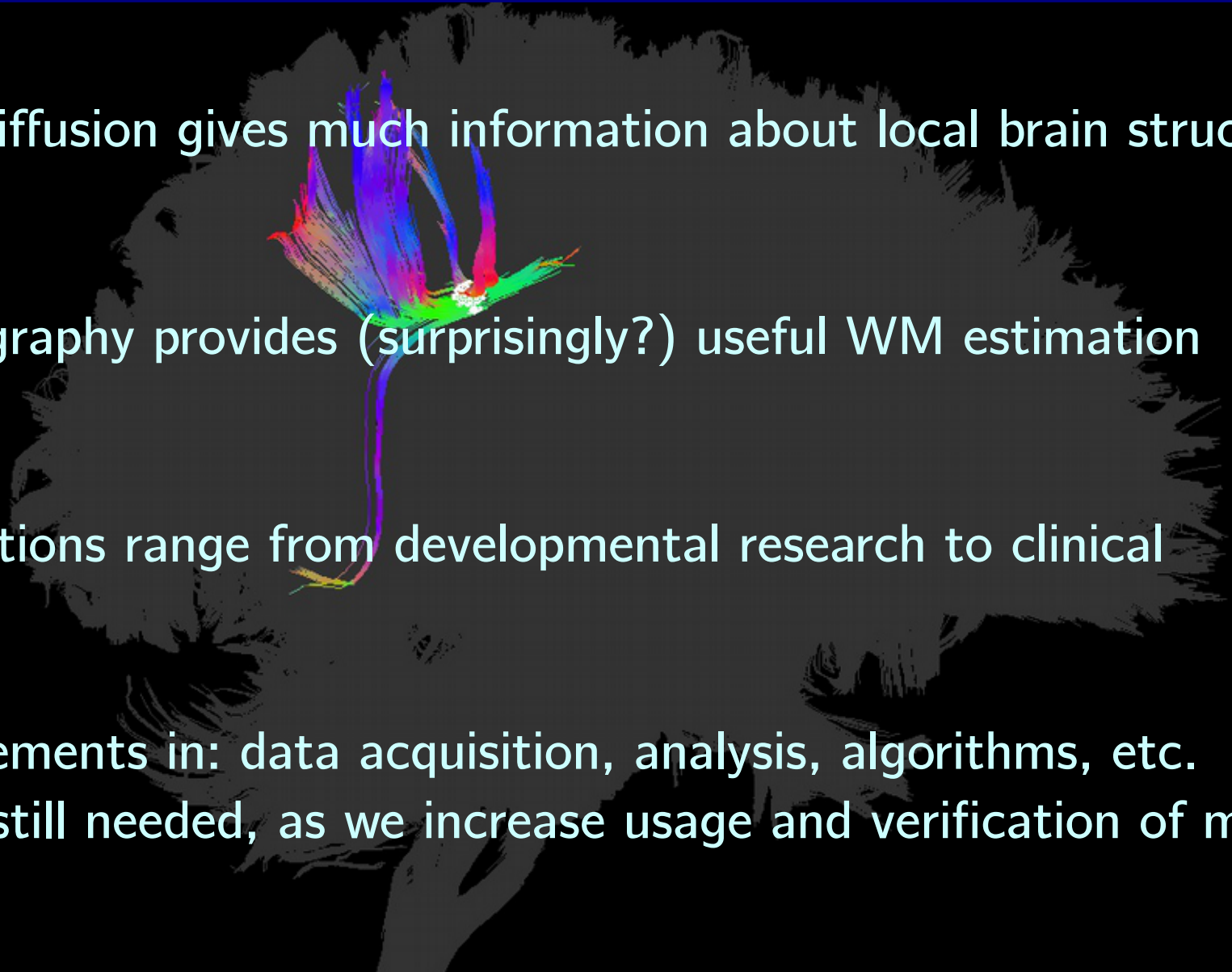
- Next, use tractography to *prospectively* determine location for electrode placement



- Initial results (small group, N=10) are encouraging → increased fraction of responders (NB: preliminary findings)

# Conclusions

- Basic diffusion gives much information about local brain structure
- Tractography provides (surprisingly?) useful WM estimation
- Applications range from developmental research to clinical
- Improvements in: data acquisition, analysis, algorithms, etc.  
are still needed, as we increase usage and verification of methods



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## **Emory:**

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further notes



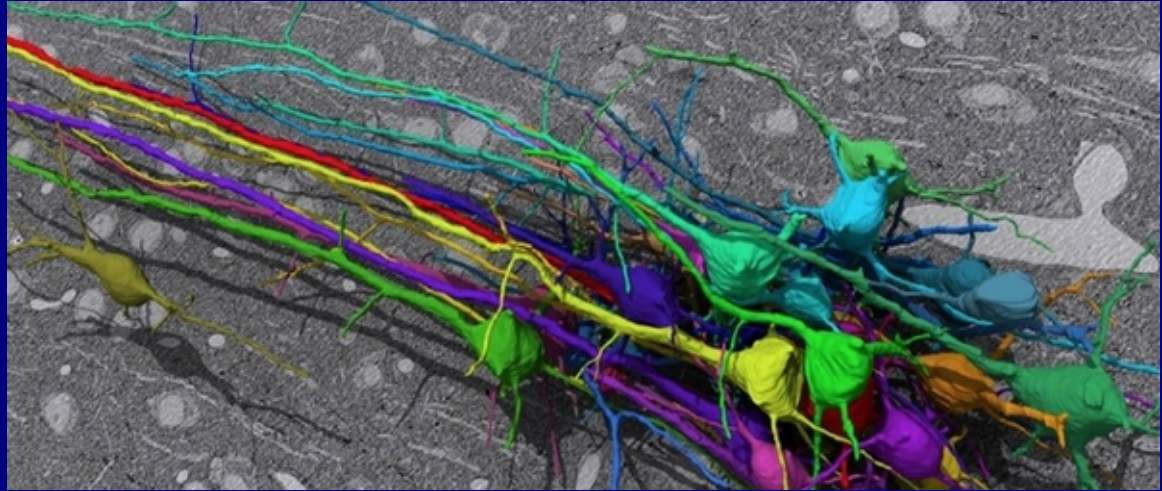
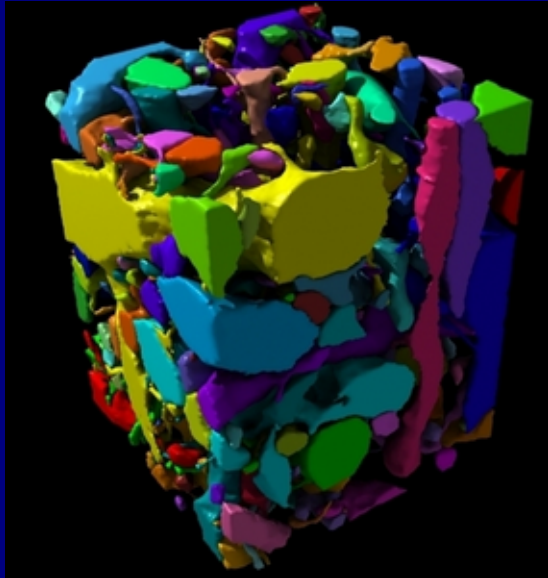
Cinematic side note:

*La Belle et la Bête* of tractography



# Known Challenges for Tracking

- + Axon diameters are of order a few micrometers
- + MRI voxel size is of order millimeters

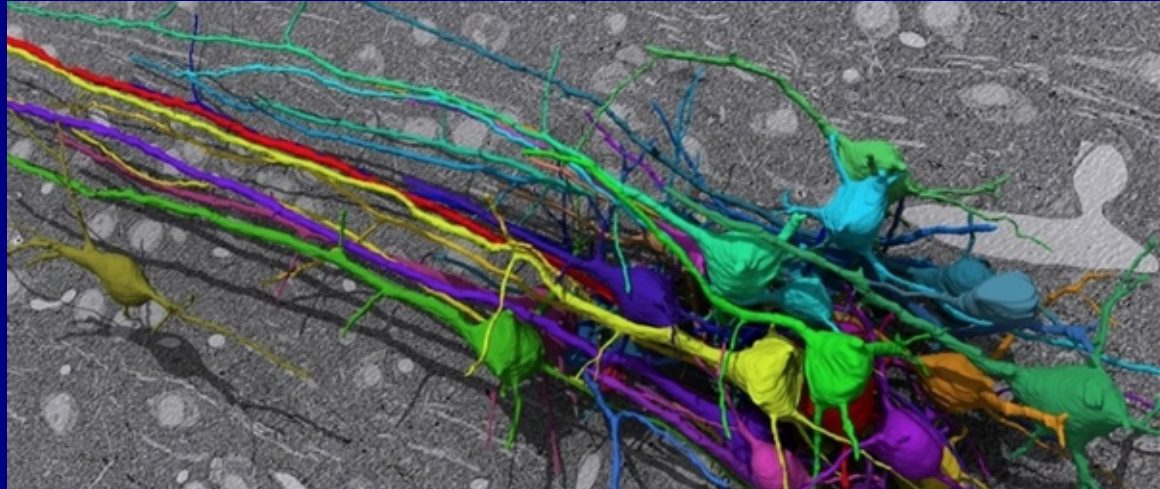
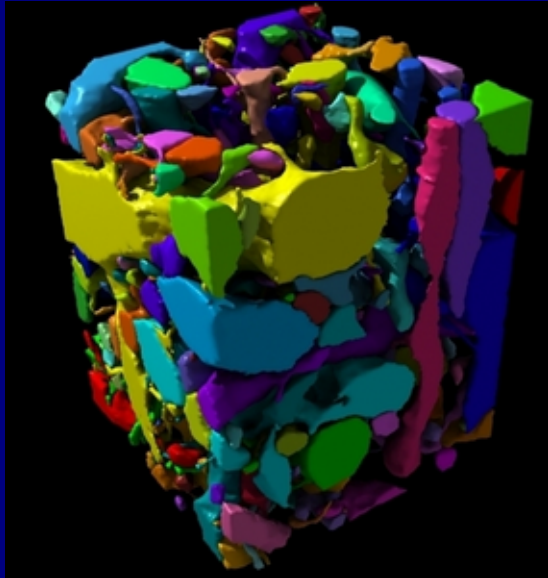


*(images of Eyewire data via NPR website)*

# Known Challenges for Tracking

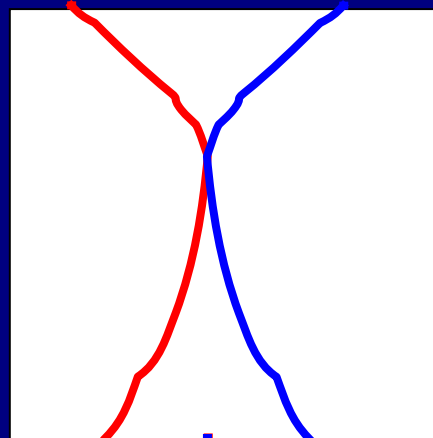
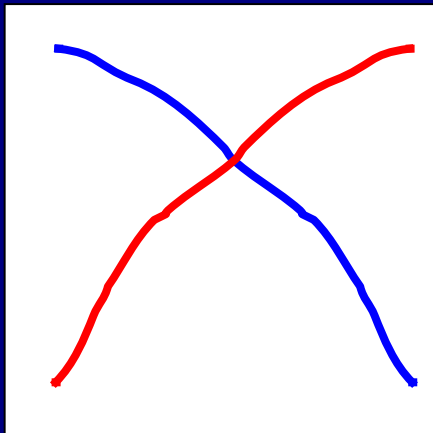


- + Axon diameters are of order a few micrometers
- + MRI voxel size is of order millimeters



*(images of Eyewire data via NPR website)*

- + WM regions are tightly packed, with many connections and potentially complicated sub-voxel scale structure



Crossing/kissing fibers can:

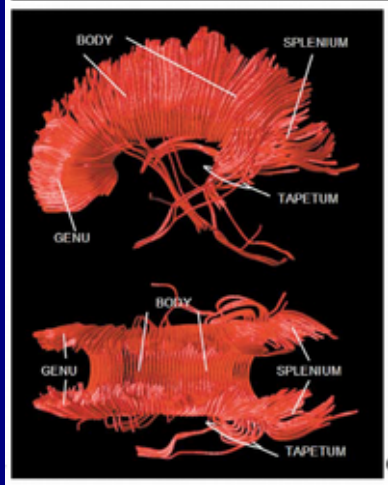
- Lower FA (stop tracking)
- Redirect (or *not*) tracking incorrectly.



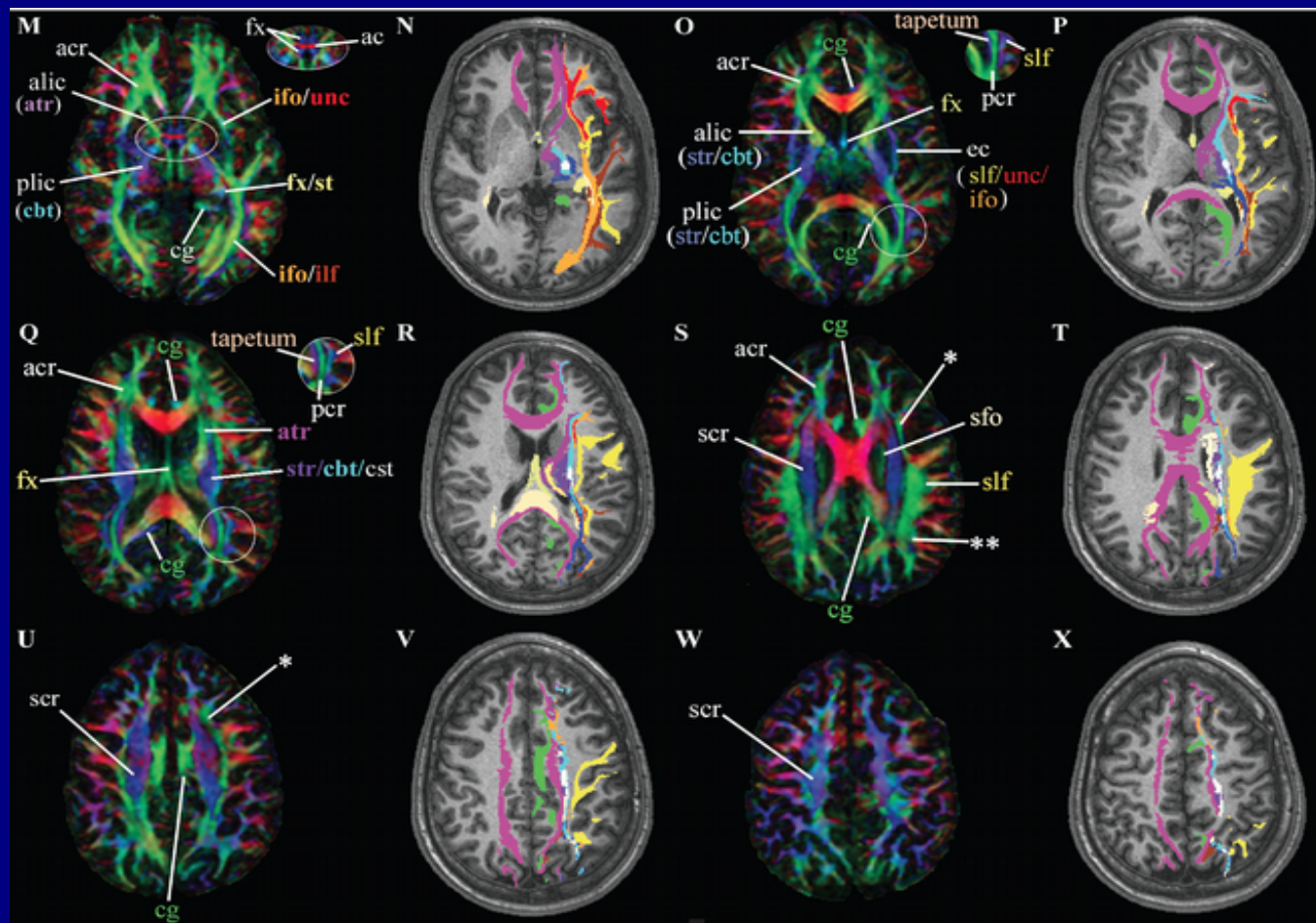
# Achievements of Tracking



- + Reproduction of many known pathways
- + In vivo vs post-mortem information



(Bammer et al., 2003)



(Wakana et al., 2004)

# Light at the end of the tunnel?



Application of tractography seems useful and logically consistent as follows:

- + GM ROIs *are* connected by WM skeleton.
- + Tractography can act to parcellate the WM skeleton based on subject's own data.
- + Avoid interpreting reconstructed tracks to represent literal, underlying fibers.
- + Use tracking to estimate and highlight WM likely to be associated with GM ROIs.
- + One can then use diffusion parameters in those 'WM ROIs' for quantitative comparisons (or use ROIs as masks for other data).